

# Sensitivity analysis on a three-phase plant-wide water and resource recovery facility model for identification of significant parameters

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Water and resource recovery facility (WRRF) mathematical models have been advancing towards their widespread application for sizing and operation of treatment plants to minimize energy consumption and cost while maximizing nutrient recovery and effluent quality. Effective utilisation of these models requires that they are well calibrated. However, difficulties (with important parameters not identified and uncertainties in interpretation of model output results) can be experienced in model calibration, especially due to (i) the intricate relationships of model output variables with model input factors (where parameters are inter-related to various model outputs), resulting in non-linearity, and (ii) the limitations (due to expensive and/or time-consuming experimental methods) experienced in procuring and reconciling data required for determination of the model input factors. This paper presents the performance of a sensitivity analysis, reinforced with expert-based reasoning, on a three-phase (aqueous-gas-solid) plant-wide model (PWM\_SA, Ikumi et al., 2015), for identification of significant parameters, and highlights the ones requiring experimental determination, specific to the system. The sensitivity analysis exercise was performed using two methods – i.e., Morris screening (screening method) and standardised regression coefficient (SRC; based on regression). This process was useful towards detection of the parameters, which are not normally measured at WRRFs, but may require attention for future application of mathematical models in decision-making processes for WRRFs. These included the influent fractions of unbiodegradable and readily biodegradable organics, the kinetic constants for hydrolysis of biodegradable particulates, the elemental composition of the organics and the specific growth rate of autotrophic nitrifying biomass.

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## INTRODUCTION

Continuous advancements are being made towards a more system-wide approach to modelling waste treatment systems, that incorporate the fate of the products being generated (e.g., mineral precipitates, stable organic sludge, biogas, etc.) in view of resource recovery. Because the functions of these water and resource recovery facilities (WRRFs) stretches beyond simply meeting effluent requirements (i.e., also includes optimisation of products to be generated), a high level of accuracy in predicting system response is required. However, with the increased size of these mathematical models, difficulties (with important model parameters not identified and uncertainties in interpretation of model output results) can be experienced in model calibration, especially due to the non-linearity brought about by (i) the intricate relationships of model output variables with model input factors (where parameters are inter-related to various model outputs) and (ii) the limitations experienced in procuring and reconciling data (due to expensive and/or time-consuming experimental methods) required for determination of the model input factors (this is especially when the model has significantly large numbers of unknown parameters and model components). Further, the inclusion of phosphorus (P) into system-wide models that could mimic the continuously evolving WRRFs has resulted in various complexities that necessitate a rigorous and systematic method of determining significant parameters and their values for confidence in the model predicted outputs. Phosphorus is removed from wastewater by transforming it from the dissolved liquid phase to the intracellular solid phase. Hence, for system-wide models, it was noted that the anaerobic digestion (AD) of P-rich sludge from biological excess P removal (EBPR) activated sludge (AS) systems, requires three-phase mixed weak acid/base chemistry because the release of biomass P or polyphosphate (PP) not only affects the system alkalinity but also can induce mineral (e.g., struvite) precipitation (Van Rensburg et al., 2003; Harding et al., 2010).

Various research groups have worked both collaboratively, and separately on related topics, towards development of WRRF mathematical models that integrate bioprocess stoichiometry and physicochemical transformations, for inclusion of processes such as nutrient release and multiple mineral precipitation (Batstone et al., 2012; Kazadi et al., 2015; Flores Alsina et al., 2016; Wang et al., 2016). Bioprocess stoichiometry and physicochemical transformations are also included in the presentation of a new 'three-phase' (aqueous-gas-solid) plant-wide model that includes P, (PWM\_SA) (Brouckaert et al., 2010; Ikumi et al., 2015) which includes compatible activated sludge (AS; ASM2-3P) and anaerobic digestion (AD; UCTSDM3P) bioprocess model components and uses strict mass-balance principles to track P through the unit processes of a WRRF (with recognition of its impact on the mutual interaction between the connected unit operations). This paper presents the performance of a sensitivity analysis on a three-phase (aqueous-gas-solid) plant-wide model (PWM\_SA, Ikumi et al., 2015), for identification of significant parameters and highlights the ones requiring experimental determination, specific to the system (i.e., where a generic value from literature cannot be used).

Sensitivity analysis has been applied in various studies as part of the standard processes of calibration of water and wastewater treatment system models (Vanrolleghem et al., 2003; Brun et al., 2001; Ikumi et al., 2014). Different methods have been applied based on the objectives of the study and the complexity of the model involved. Some applications in the field of anaerobic digestion (AD) modelling include: (i) the use of non-dimensional logarithmic sensitivity functions (i.e., partial derivatives of the state variables) by Noykova and Gyllenberg (2000) to compare the influence of different parameters and variables in a modified version of the Hill and Bath (1977) AD model; (ii) the application of decoupled direct method (DDM; i.e., decoupling the auxiliary equations from the model equations) applied by Silva and De Bortoli (2020) for an AD model of cellulose degradation for biogas production; (iii) calculation of the sensitivity index (Sobol, 1993) to define the most sensitive parameters for production of biogas (i.e., the methane yield), using the International Water Association (IWA) Anaerobic Digestion Model No. 1 (ADM1; Batstone et al., 2002) with optimized kinetic parameters in the anaerobic digestion of food waste (Zhao et al., 2019). As noted from the literature sources, various methods can be used in identification of influential model parameters. There have been some comparisons between various methods of sensitivity analysis (Neumann, 2012; Cosenza et al., 2013). Some of the methods that stood out in these comparisons included standard regression coefficient (SRC; is a linear correlation-based approach) method, Morris screening (Morris, 1991; determination of the elementary effects) and Extended-FAST (Fourier Amplitude Sensitivity Testing; an analysis of variance-based approach).

Sensitivity analysis objectives usually considered are factor prioritisation (identifying the model parameters with the greatest effect on model outputs) or factor fixing (identifying non-influential factors that could be 'fixed') (Neumann, 2012; Mannina et al., 2011). However, modellers may find it useful to identify both important (factor prioritization) and non-influential (factor fixing) input parameters. In the study conducted by Neumann (2012) it was shown that, although the SRC method was applied outside its' validity range, it still identified similar important parameters to Extended-FAST. In applying a sensitivity analysis of the UCTSDM3P model of Ikumi et al. (2015) used to simulate an upflow anaerobic sludge blanket (UASB) reactor, Ghoor (2020), noted the SRC method to be useful in factor prioritisation, although it assumes existence of a linear relationship between input parameters and output variables. Ghoor (2020) notes that, despite this linear relationship not being true for bioprocess models such as that for AD systems, the correlation coefficient of greater than 0.7 can allow for an assumption that the applied linear model in SRC explains the relationships reasonably well and accounts for 70% of the variance in the data. This made the SRC simpler to understand,

when compared with the more complex methods such as Extended-FAST. For similar reasons to those stated by Ghoor (2020), the SRC method was selected to be used in factor prioritisation for this study. However, because it was deemed useful to assess both linear and/or non-linear effects of all the model parameters on the output variables (Mannina et al., 2011), Morris screening was selected as a second method of analysis because of its capabilities in factor fixing and identifying non-linear relations between parameters and variables (Gamerith et al., 2011). Further, Morris screening was recommended by Herman et al. (2013) as an efficient method that can identify the most and least sensitive parameters, similar to a more complex variance-based Sobol sensitivity index method (Sobol, 1993), at a reasonable computational cost.

## EXPERIMENTAL SYSTEM LAYOUT

The experimental layout of Ikumi (2011) is used in this study. It replicates at laboratory scale three WWTP schemes, comprising (i) a Modified Ludzack–Ettinger (MLE) nitrification–denitrification (ND) activated sludge (AS) system treating raw sewage (MLE 1) with anaerobic digestion (AD) of its waste activated sludge (WAS) in AD system number 1 (i.e., AD1), (ii) an identical MLE system (MLE 2) treating settled sewage with AD of its WAS in AD2, and (iii) a membrane (MBR) University of Cape Town (UCT) ND enhanced biological P removal (NDEBPR) system treating settled sewage with (i) AD of its WAS in AD3. All three AS systems (UCT, MLE 1 and MLE 2) were operated at steady state, at a 10-day system sludge age (SRT), and were fed the same 600 mgCOD/L settled wastewater, except that the UCT system influent included same additives (i.e., 200 mgCOD/L acetate and 40 mg/L P from di-potassium hydrogen phosphate ( $\text{KH}_2\text{PO}_4$ )). The MLE systems had no chemical additives but to one of them (MLE 2) a measured constant flux (gCOD/d) of macerated PS collected from the Athlone WWTP (Cape Town) was added to the same settled WW to make up raw sewage influent and increase its COD from the basic 600 mgCOD/L to 1 000 mgCOD/L. The added PS, the WAS from the three AS systems, and a blend of PS – MLE1 WAS were also anaerobically digested. Hence, the AD systems constituted 5 separate flow-through anaerobic digestion (AD) systems operated successively at different solid retention times (SRTs). To initiate the calibration process, the sensitivity analysis procedure was performed with simulation of the MBR UCT NDEBPR system and the AD system that digested its WAS (Fig. 1 shows the experimental set-up). Table 1 and Table 2 show the operating parameters for the UCT AS and AD systems, respectively. The prepared experimental set-up allowed for the tracking of COD, N and P through the aerobic and anaerobic unit processes of the WWTP. Table 3 presents a guide indicating all measurements performed on samples taken from the unit processes of the plant configuration.

**Table 1.** Design and operating parameters for UCT AS system

Parameter	Value
Sludge age (d)	10
Influent COD (mg/L)	600+200 <sup>a</sup>
Influent flow (L/d)	150
Waste flow (L/d) (from aerobic reactor)	5.74
Volume (L)/mass fractions: anaerobic	19; 0.133
Volume (L)/mass fractions: anoxic	21/0.275
Volume (L)/mass fractions: aerobic	35/0.592
Recycle ratios: a (aerobic to anoxic)	2.8–3.4 <sup>b</sup>
Recycle ratios: s (from settling tank)	---
Recycle ratios: r (anoxic to anaerobic)	1.1–1.2 <sup>b</sup>
HRT – nominal/actual (h): anaerobic	3.04/1.41
HRT – nominal/actual (h): anoxic	3.36/0.61
HRT – nominal/actual (h): aerobic	5.6/1.27

<sup>a</sup>Dosed 200 mgCOD/L sodium acetate; <sup>b</sup> the MBR UCT a recycle varied from 2.8 to 3.4 and s recycle varied from 1.1 to 1.2 during its operation.

**Table 2.** Design and operating parameters for AD system

Test period	1	2	3	4	5
Period dates	1 Feb–08 Apr	9 Apr–04 Jul	1 Feb–12 Jun	5 Jul–28 Aug	28 Aug–2 Nov
Period duration	68	87	133	55	66
WW batches used	13–14	15–16	16–17	18–19	20–21
AD sludge age	18 d	40 d	60 d	25 d	10 d
Flow (L/d)	0.89	0.4	0.08	0.64	1.6
Flux (gCOD/d)	8	3.6	0.72	5.76	14.4

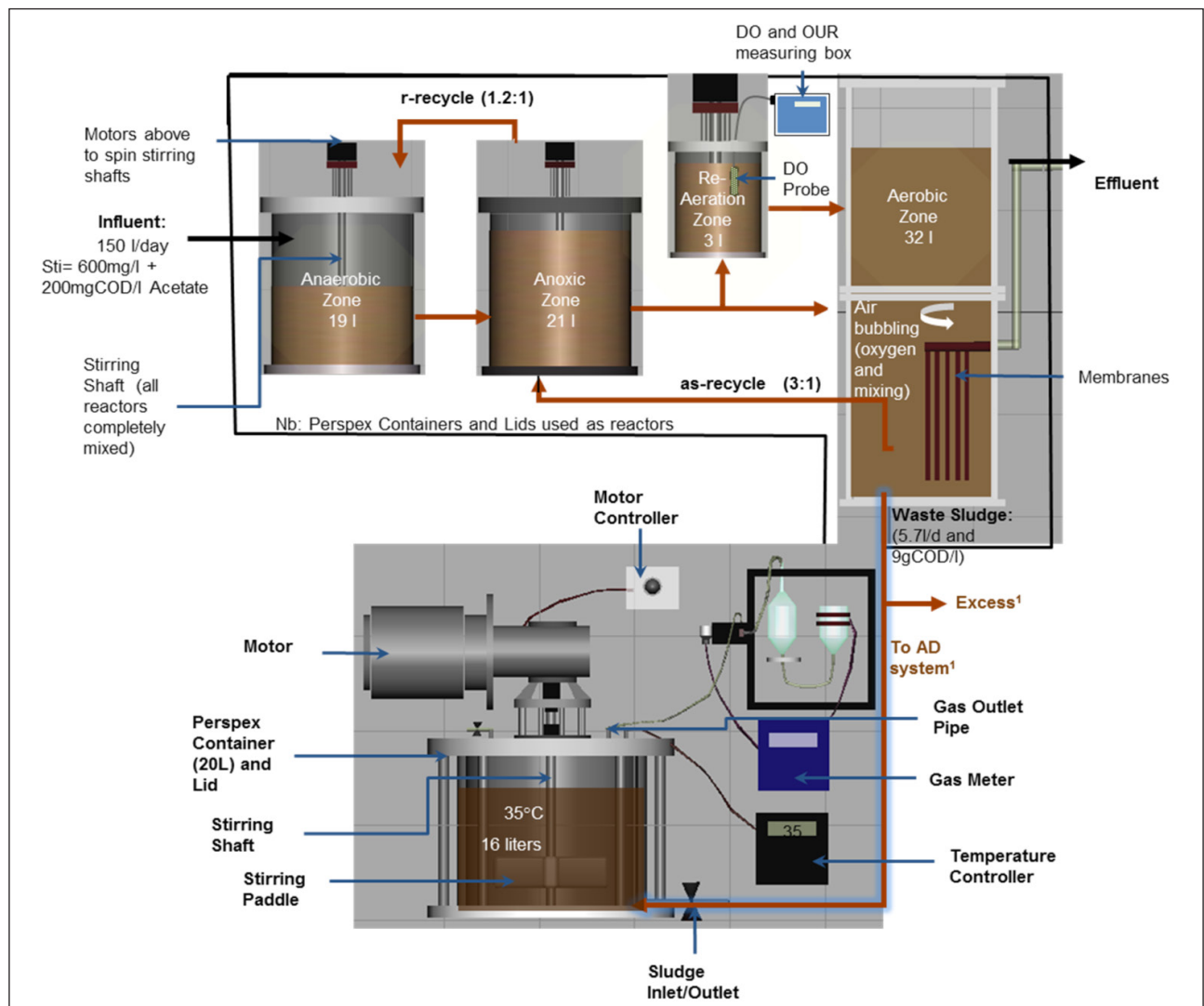
AD volume was always 16 L apart from 60 d AD which was operated using small 5 L AD volume reactors, The NDEBPR WAS taken from AS system was 5.7 L/d at a concentration of about 9 gCOD/L. The required volume of this WAS was fed to the AD without thickening.

**Table 3.** Sampling points and parameter measurement

Test	COD	TKN	VFA	FSA	NO <sub>3</sub>	NO <sub>2</sub>	TP	OP	Me+	Alk	TSS	VSS	OUR	DSVI	pH	Gas (vol& % CO <sub>2</sub> )
Influent	F; UF	UF	UF	F			UF; F	F	UF; F	UF						
Anaerobic					F	F	F				UF	UF				
Anoxic					F	F	F				UF	UF				
Aerobic	UF	UF			F	F	UF		UF; F		UF	UF	D	D	D	
Final effluent	F	F; UF	F	F	F	F	F; UF	F		F						
AD influent	F; UF	F; UF	UF	F			F; UF	F	F; UF	UF	UF	UF				
AD effluent	F; UF	F; UF	F	F			F; UF	F	F	F	UF	UF			D	D

F = 0.45 µm filtered; UF = unfiltered samples; D = direct measurement taken.

COD (chemical oxygen demand), TKN (total Kjeldahl nitrogen), FSA (free and saline ammonia), TP (total phosphorus), OP (ortho-P), TSS (total suspended solids), VSS (volatile suspended solids) according to Standard Methods (1998). NO<sub>3</sub> (nitrate) and NO<sub>2</sub> (nitrite) by Technicon Autoanalyzer Industrial Method 33.68 and 35.67W; Me+ (metals – Mg, K, Ca) by acid digestion of unfiltered (UF) and filtered (F) samples followed by atomic adsorption (AA) analysis. DSVI (diluted sludge volume index) according to Ekama and Marais (1984); OUR (oxygen utilization rate) measured directly in aerobic reactor according to Randall et al. (1991). VFA (volatile fatty acids) and H<sub>2</sub>CO<sub>3</sub> alkalinity with the 5-point titration of Moosbrugger et al. (1992); gas volume was measured by gas volume counter directly connected to AD; gas was collected in 5L Tedlar gas bags and CO<sub>2</sub> and CH<sub>4</sub> composition analysed by gas chromatograph.



**Figure 1.** Simulated experimental set-up used to carry out research investigation

## MODEL DESCRIPTION AND VERIFICATION

The UCT three-phase plant-wide model (Ikumi et al., 2015) was developed for simulating the biological processes to track and predict the output of materials (COD, carbon (C), hydrogen (H), oxygen (O), nitrogen (N), phosphorus (P), magnesium (Mg), potassium (K) and calcium (Ca)) along the unit processes of a WWTP. It comprises three sub-models, integrated for simulation of the entire WWTP under various configurations (e.g., NDBEPR AS system linked to an AD or an anoxic-aerobic digestion (AAD) for WAS stabilisation). These sub-models include:

1. The ionic speciation model (Brouckaert et al., 2010). This model includes pairing of ionic components (the set of model ionic species is given in Table 4) and inter-phase transfers of component species. Table 5 gives an example of a set of equilibrium and mass-balance equations used in the ionic speciation subroutine.
2. The ASM2-3P model: This is the Activated Sludge Model No. 2 (ASM2, Henze et al., 1995), modified to include the ionic speciation model (Brouckaert et al., 2010), the Inorganic Settleable Solids (ISS) model of Ekama and Wentzel (2004) and including multiple mineral precipitation according to Musvoto et al. (2000a,b).
3. The UCTSDM3P Model: This is the University of Cape Town Anaerobic Digestion Model (UCTADM; Sötemann et al., 2005), modified to include the hydrolysis of multiple organic sludge types (PS, ND WAS, NDBEPR WAS and PS-WAS blends), the Ekama and Wentzel (2004) ISS model, multiple mineral precipitation processes according to Musvoto et al. (2000a, b) and the Brouckaert et al. (2010)

aqueous speciation model which facilitates ionic speciation (Ikumi et al., 2015).

For their compatibility, the ASM2-3P and UCTSDM3P models have the same comprehensive set of model components (supermodel approach, Volcke et al., 2006; model components given in Table 6 and applied stoichiometric processes in Table 7), including parameterized stoichiometry for the bioprocesses and sharing the same ionic speciation subroutine model. All the model components and parameters were defined and named according to the standard notational framework proposed by Corominas et al. (2010).

**Model verification:** To initiate the evaluation of the PWM\_SA model, the systematic method proposed by Hauduc et al. (2010) was applied to verify that material (COD, C, H, O, N, P, Mg, K and Ca) balances were achieved in the determination of all stoichiometric processes.

**Parameter values:** The initial (prior) values for suitable kinetic and stoichiometric parameters as obtained experimentally or from literature were entered, and given the typical value range ( $\theta_{i\_min}$  to  $\theta_{i\_max}$ ), determined according to the methods proposed by Brun et al. (2002) considering 3 classes:

- Accurately known – these have a relative uncertainty of 5% (Class 1)
- Moderately inaccurately known parameters with a relative uncertainty of 20% (Class 2)
- Very poorly known parameters with a relative uncertainty of 50% (Class 3)

Tables 8 and 9 show the model parameters for ASM2-3P and UCTSDM3P, respectively.

**Table 4.** Ionic species selected for the three-phase model (PWM\_SA)

Formula	Description	Formula	Description
1 H <sup>+</sup>	Hydrogen ion	23 NH <sub>4</sub> SO <sub>4</sub> <sup>-</sup>	Ammonium sulphate
2 Na <sup>+</sup>	Sodium	24 MgPO <sub>4</sub> <sup>-</sup>	Magnesium phosphate
3 K <sup>+</sup>	Potassium	25 CaCH <sub>3</sub> COO <sup>+</sup>	Calcium acetate
4 Ca <sup>2+</sup>	Calcium	26 CaCH <sub>3</sub> CH <sub>2</sub> COO <sup>+</sup>	Calcium propionate
5 Mg <sup>2+</sup>	Magnesium	27 CaHCO <sub>3</sub> <sup>+</sup>	Calcium bicarbonate
6 NH <sub>4</sub> <sup>+</sup>	Ammonium	28 NaSO <sub>4</sub> <sup>-</sup>	Sodium sulphate
7 Cl <sup>-</sup>	Chloride	29 MgHPO <sub>4</sub>	Magnesium hydrogen phosphate
8 CH <sub>3</sub> COO <sup>-</sup>	Acetate	30 CH <sub>3</sub> COONa	Sodium acetate
9 CH <sub>3</sub> CH <sub>2</sub> COO <sup>-</sup>	Propionate	31 H <sub>2</sub> CO <sub>3</sub>	Di-hydrogen carbonate
10 CO <sub>3</sub> <sup>2-</sup>	Carbonate	32 MgSO <sub>4</sub>	Magnesium sulphate
11 SO <sub>4</sub> <sup>2-</sup>	Sulphate	33 HPO <sub>4</sub> <sup>2-</sup>	Hydrogen phosphate
12 PO <sub>4</sub> <sup>3-</sup>	Phosphate	34 NH <sub>3</sub>	Ammonia
13 NO <sub>3</sub> <sup>-</sup>	Nitrate	35 MgCO <sub>3</sub>	Magnesium carbonate
14 OH <sup>-</sup>	Hydroxide ion	36 ACPO <sub>4</sub> <sup>-</sup>	Calcium phosphate
15 CH <sub>3</sub> COOH	Acetic acid	37 MgHCO <sub>3</sub> <sup>+</sup>	Magnesium hydrogen carbonate
16 CH <sub>3</sub> CH <sub>2</sub> COOH	Propionic acid	38 CaHPO <sub>4</sub> <sup>-</sup>	Calcium hydrogen phosphate
17 HCO <sub>3</sub> <sup>-</sup>	Bi carbonate	39 NaCO <sub>3</sub> <sup>-</sup>	Sodium carbonate
18 CaSO <sub>4</sub>	Calcium sulphate	40 MgH <sub>2</sub> PO <sub>4</sub> <sup>+</sup>	Magnesium di-hydrogen phosphate
19 H <sub>2</sub> PO <sub>4</sub> <sup>-</sup>	Di-hydrogen phosphate	41 NaHCO <sub>3</sub>	Sodium hydrogen carbonate
20 MgCH <sub>3</sub> COO <sup>+</sup>	Magnesium acetate	42 NaHPO <sub>4</sub> <sup>-</sup>	Sodium hydrogen phosphate
21 MgCH <sub>3</sub> CH <sub>2</sub> COO <sup>+</sup>	Magnesium propionate	43 CaOH <sup>+</sup>	Calcium hydroxide
22 CaCO <sub>3</sub>	Calcium carbonate	44 MgOH <sup>+</sup>	Magnesium hydroxide

**Table 5.** Example for equilibrium and mass balance equations for ionic speciation

Weak acid sub-system	*Aqueous phase equilibrium equations	Mass balance equation
	$[NH_3] = \frac{K_{NH_4} \cdot [NH_4^+]}{(H^+)}$	
Ammonia	$[NH_4SO_4^-] = \frac{[SO_4^{2-}][NH_4^+]}{K_{NH_4SO_4}}$	$[NH_x] = [NH_4^+] + [NH_3] + [NH_4SO_4^-]$

\*Where (H<sup>+</sup>) is the hydrogen ion activity, [X] the molar concentrations of species X and K<sub>x</sub> is the thermodynamic equilibrium constant for species X, adjusted for Debye Hückel effects to account for the activity of ions in low salinity water (Stumm and Morgan, 1996).

**Table 6.** The universally selected model components for UCT three-phase plant-wide model (PWM\_SA)

Component description	Empirical formula	Name/notation
Water	H <sub>2</sub> O	H <sub>2</sub> O
Hydrogen ion	H <sup>+</sup>	S_H
Sodium	Na <sup>+</sup>	S_Na
Potassium	K <sup>+</sup>	S_K
Calcium	Ca <sup>2+</sup>	S_Ca
Magnesium	Mg <sup>2+</sup>	S_Mg
Ammonium	NH <sub>4</sub> <sup>+</sup>	S_NH <sub>x</sub>
Chloride	Cl <sup>-</sup>	S_Cl
Acetate	CH <sub>3</sub> COO <sup>-</sup>	S_VFA
Propionate	CH <sub>3</sub> CH <sub>2</sub> COO <sup>-</sup>	S_Pr
Carbonate	CO <sub>3</sub> <sup>2-</sup>	S_CO <sub>3</sub>
Sulphate	SO <sub>4</sub> <sup>2-</sup>	S_SO <sub>4</sub>
Phosphate	PO <sub>4</sub> <sup>3-</sup>	S_PO <sub>4</sub>
Nitrate	NO <sub>3</sub> <sup>-</sup>	S_NO <sub>x</sub>
Dissolved hydrogen	H <sub>2</sub>	S_H2
Dissolved oxygen	O <sub>2</sub>	S_O2
Unbiodegradable soluble organics	CH <sub>10</sub> O <sub>20</sub> N <sub>40</sub> P <sub>Bu</sub>	S_U
Fermentable biodegradable soluble organics	CH <sub>11</sub> O <sub>21</sub> N <sub>41</sub> P <sub>Bf</sub>	S_F
Glucose	C <sub>6</sub> H <sub>12</sub> O <sub>6</sub>	S_Glu
Unbiodegradable particulate organics	CH <sub>100</sub> O <sub>200</sub> N <sub>400</sub> P <sub>Bup</sub>	X_U_inf
Biodegradable particulate organics	CH <sub>110</sub> O <sub>210</sub> N <sub>410</sub> P <sub>Bbp</sub>	X_B_Org
Primary sludge biodegradable particulate organics	CH <sub>1100</sub> O <sub>2100</sub> N <sub>4100</sub> P <sub>Bbps</sub>	X_B_Inf
Polyphosphate	K <sub>sp</sub> Mg <sub>mp</sub> Ca <sub>cp</sub> PO <sub>3</sub>	X_PAO_PP
Poly-hydroxy-alkanoate	C <sub>4</sub> H <sub>8</sub> O <sub>2</sub>	X_PAO_Stor
Struvite	MgNH <sub>4</sub> PO <sub>4</sub> ·6H <sub>2</sub> O	X_Str_NH4
Calcium phosphate	Ca <sub>3</sub> (PO <sub>4</sub> ) <sub>2</sub>	X_ACP
K-struvite	MgKPO <sub>4</sub> ·6H <sub>2</sub> O	X_Str_K
Calcite	CaCO <sub>3</sub>	X_Cal
Magnesite	MgCO <sub>3</sub>	X_Mag
Newberyite	MgHPO <sub>4</sub>	X_Newb
Influent inorganic settleable solids	-	X_ISS
Ordinary heterotrophic organisms	CH <sub>10</sub> O <sub>20</sub> N <sub>40</sub> P <sub>Bo</sub>	X_OHO
Phosphate accumulating organisms	CH <sub>10</sub> O <sub>20</sub> N <sub>40</sub> P <sub>Bo</sub>	X_PAO
Autotrophic nitrifying organisms	CH <sub>10</sub> O <sub>20</sub> N <sub>40</sub> P <sub>Bo</sub>	X_ANO
Acidogens	CH <sub>10</sub> O <sub>20</sub> N <sub>40</sub> P <sub>Bo</sub>	X_ZAD
Acetogens	CH <sub>10</sub> O <sub>20</sub> N <sub>40</sub> P <sub>Bo</sub>	X_ZAC
Acetoclastic methanogens	CH <sub>10</sub> O <sub>20</sub> N <sub>40</sub> P <sub>Bo</sub>	X_ZAM
Hydrogenotrophic methanogens	CH <sub>10</sub> O <sub>20</sub> N <sub>40</sub> P <sub>Bo</sub>	X_ZHM
Endogenous residue	CH <sub>10</sub> O <sub>20</sub> N <sub>40</sub> P <sub>Be</sub>	X_U_Org
Carbon dioxide	CO <sub>2</sub>	G_CO <sub>2</sub>
Methane	CH <sub>4</sub>	G_CH <sub>4</sub>

All components are presented in the units of g/m<sup>3</sup>

**Table 7.** Processes used in the application of UCT three-phase plant-wide model

Name	Description
AerHydrol	Aerobic hydrolysis of biodegradable particulate organics (BPO)
AnHydrol	Anoxic hydrolysis of BPO
AnaerHydrol	Anaerobic hydrolysis of BPO
AerGrowthOnSf	Aerobic OHO growth on fermentable soluble organics (FBSO)
AerGrowthOnSa	Aerobic OHO growth on Acetate
AnGrowthOnSfDenitrif	Anoxic OHO growth on FBSO
AnGrowthOnSaDenitrif	Anoxic OHO growth on Acetate
Fermentation	Fermentation of FBSO
LysisOfAuto	Storage of poly-hydroxy-alkanoate (PHA) by PAOs
StorageOfXPP	Aerobic storage of PP with PHA uptake
AerGrowthOnXPHA	Aerobic growth of PAOs
LysisOfXPP	Release and hydrolysis of polyphosphate (PP)
LysisOfXPHA	Release and hydrolysis of PHA
GrowthOfAuto	Aerobic growth of ANOs with nitrification
OHO_Lysis	Lysis of OHOs in aerobic systems
LysisOfXPAO	Lysis of PAOs in aerobic systems
LysisOfAuto	Lysis of ANOs in AS system
Aeration	Oxygen supply to aerobic reactor
FSO_Hydrolysis	Hydrolysis of FBSO in AD system
BPO_Hydrolysis	Hydrolysis of BPO produced by dead biomass
BPO_PS_Hydrolysis	Hydrolysis of BPO from primary sludge (PS)
OHO_Lysis_AD	Lysis of OHOs in AD system
PAO_Lysis_AD	Lysis of PAOs in AD system
PP_Release	Release of PP with uptake of PHA in AD system
PP_Hydrolysis	Release and hydrolysis of PP in AD system
PHA_Hydrolysis	Release and hydrolysis of PHA in AD system
Acidogenesis_L	Low hydrogen partial pressure (p <sub>H2</sub> ) Acidogenesis
Acidogenesis_H	High p <sub>H2</sub> acidogenesis
AD_Decay	Lysis of acidogens
Acetogenesis	Growth of acetogens in AD system
AC_Decay	Lysis of acetogens
Acet_Methanogenesis	Growth of acetoclastic methanogens in AD system
AM_Decay	Lysis of acetoclastic methanogens
Hyd_Methanogenesis	Growth of hydrogenotrophic methanogens in AD system
HM_Decay	Lysis of hydrogenotrophic methanogens

**Table 8.** Parameters used in simulating the ASM2-3P Model

No.	Parameter	Description	Initial value	Units	Class	Uncertainty
1	$K_{LA\_CO2} (K_{lac})$	CO <sub>2</sub> liquid phase mass transfer rate coefficient	1	1/d	3	0.25
2	$K_{s\_VFA} (K_{Ssa})$	Saturation coeff. for S <sub>A</sub> (acetate)	4	gCOD/m <sup>3</sup>	3	2
3	$K_{s\_ALK} (K_{Salk})$	Saturation coeff. for alkalinity (HCO <sub>3</sub> )	0.1	mol HCO <sub>3</sub> /m <sup>3</sup>	3	0.05
4	$K_{s\_ALK\_ANO} (K_{Salkan})$	Saturation coeff. of autotrophs for Alkalinity	0.5	mol HCO <sub>3</sub> /m <sup>3</sup>	3	0.25
5	$K_{f\_OHO} (K_f)$	Saturation/inhibition coeff. for growth on S <sub>F</sub>	4	gCOD/m <sup>3</sup>	3	2
6	$K_{i\_PP\_PAO} (K_{ipp})$	Inhibition coeff. for X <sub>PP</sub> storage	0.02	gPP/gPAO	3	0.01
7	$K_{MAX\_IPP\_PAO} (K_{mxpp})$	Maximum ratio of X <sub>PP</sub> /X <sub>PAO</sub>	0.78	gPP/gPAO	2	0.156
8	$K_{s\_NH4} (K_{Snh})$	Saturation coeff. for ammonium (nutrient)	0.05	gN/m <sup>3</sup>	3	0.025
9	$K_{s\_NH4\_ANO} (K_{Snhan})$	Saturation coeff. of autotrophs for Ammonium	1	gN/m <sup>3</sup>	3	0.5
10	$K_{s\_NOx\_OHO} (K_{noxh})$	Saturation/inhibition coeff. for nitrate	0.5	gN/m <sup>3</sup>	2	0.1
11	$K_{O2} (K_{O2})$	Saturation/inhibition coeff. for oxygen	0.2	gO/m <sup>3</sup>	3	0.1
12	$K_{O2\_ANO} (K_{O2an})$	Saturation/inhibition coeff. of X <sub>AUT</sub> for O <sub>2</sub>	0.5	gO/m <sup>3</sup>	2	0.1
13	$K_{s\_PO4} (K_{SPO4})$	Saturation coeff. for phosphorus (nutrient)	0.01	gP/m <sup>3</sup>	3	0.005
14	$K_{s\_PHA\_PAO} (K_{Spha})$	Saturation coeff. for PHA	0.01	gPHA/gPAO	3	0.005
15	$K_{s\_IPP\_PAO\_PHA} (K_{Spph})$	Saturation coeff. for polyphosphate (X <sub>PP</sub> )	0.01	gPP/gPAO	3	0.005
16	$K_{s\_PO4\_PAO\_PP} (K_{SPOpp})$	Saturation coeff. for phosphorus in X <sub>PP</sub> storage	0.2	gP/m <sup>3</sup>	3	0.1
17	$K_{s\_Binf\_OHO\_hyd} (K_{Sbih})$	Saturation coeff. for particulate COD	0.1	gBPO/gOHO	3	0.05
18	$K_{s\_F\_OHO\_ferm} (K_{Sohf})$	Saturation coeff. for fermentation on S <sub>F</sub>	20	gCOD/m <sup>3</sup>	3	10
19	$k_{M\_Binf\_OHO\_hyd} (k_{Mbi})$	Hydrolysis rate constant	4	gCOD/(gCOD*d)	3	2
20	$\mu_{U\_ANO} (\mu_{Uno})$	Maximum growth rate of X <sub>AUT</sub>	1	1/d	2	0.2
21	$\mu_{U\_OHO} (\mu_{Uho})$	Maximum growth rate X <sub>OHO</sub> on substrate	6	1/d	2	1.2
22	$\mu_{U\_PAO} (\mu_{Upao})$	Maximum growth rate for X <sub>PAO</sub>	1	1/d	2	0.2
23	$n_{NO\_Het} (n_{nhet})$	Reduction factor for de-nitrification	0.3	-	2	0.06
24	$n_{OHO\_Binf\_hyd} (n_{Hbihy})$	Anoxic hydrolysis reduction factor	0.6	-	2	0.12
25	$n_{OHO\_Binf\_ferm} (n_{Hbife})$	Anaerobic hydrolysis reduction factor	0.1	-	3	0.05
26	$Q_{PAO\_PP\_Stor} (Q_{pph})$	Rate constant for storage of X <sub>PHA</sub>	3	1/d	2	0.6
27	$Q_{PAO\_PO4\_PP} (Q_{pppp})$	Rate constant for storage of X <sub>PP</sub>	4.5	1/d	2	0.9
28	$Q_{OHO\_F\_VFA} (Q_{fac})$	Maximum rate for fermentation	3	1/d	2	0.6
29	$S_{O2\_Sat}$	Oxygen saturation concentration	8.9	g/m <sup>3</sup>	1	0.445
30	$Y_{ANO} (Y_{ano})$	Yield for autotrophic biomass	0.24	gCOD/gN	1	0.012
31	$Y_{OHO} (Y_{oho})$	Yield for heterotrophic biomass	0.67	gCOD/gCOD	1	0.0335
32	$Y_{PAO} (Y_{pao})$	Yield coeff. (biomass/X <sub>PHA</sub> )	0.67	gCOD/gCOD	1	0.0335
33	$Y_{Stor\_PP\_PAO} (Y_{pp})$	PHA requirement for X <sub>PP</sub> storage	0.2	gCOD/gP	1	0.01
34	$Y_{gly\_VFA} (Y_{ppac})$	X <sub>PP</sub> release as S <sub>PO4</sub> per X <sub>PHA</sub> stored	0.5	gP/gCOD	1	0.02
35	$i_{N\_XBorg\_mol\_perc} (i_{no})$	N/C: biodegradable particulate organics	0.227	ratio	3	0.1135
36	$i_{N\_XBinf\_mol\_perc} (i_{nxbi})$	N/C: PS biodegradable particulate organics	0.033	ratio	3	0.0165
37	$i_{N\_XUOrg\_mol\_perc} (i_{nxuo})$	N/C: endogenous residue organics	0.062	ratio	3	0.031
38	$i_{N\_SF\_mol\_perc} (i_{nst})$	N/C: fermentable biodegradable soluble organics	0.058	ratio	3	0.029
39	$i_{N\_Org\_mol\_perc} (i_{no})$	N/C: organisms	0.227	ratio	3	0.1135
40	$i_{N\_XUinf\_mol\_perc} (i_{nxui})$	N/C: unbiodegradable particulate organics	0.062	ratio	3	0.031
41	$i_{N\_SU\_mol\_perc} (i_{nsu})$	N/C: unbiodegradable soluble organics	0.135	ratio	3	0.0675
42	$b_{ANO} (b_{ano})$	Decay rate for X <sub>AUT</sub>	0.15	1/d	2	0.03
43	$b_{OHO} (b_{oho})$	Rate constant for lysis and decay for X <sub>OHO</sub>	0.62	1/d	2	0.124
44	$b_{PAO} (b_{pao})$	Rate constant for lysis of X <sub>PAO</sub>	0.04	1/d	2	0.008
45	$b_{PHA} (b_{pha})$	Rate constant for lysis of X <sub>PHA</sub>	0.04	1/d	2	0.008
46	$b_{PP} (b_{pp})$	Rate constant for lysis of X <sub>PP</sub>	0.017	1/d	2	0.0034
47	$i_{P\_XBorg\_mol\_perc} (i_{poo})$	P/C: biodegradable particulate organics	0.031	ratio	3	0.0155
48	$i_{P\_XBinf\_mol\_perc} (i_{poxbi})$	P/C: PS biodegradable particulate organics	0.013	ratio	3	0.0065
49	$i_{P\_XUOrg\_mol\_perc} (i_{pxuo})$	P/C: endogenous residue organics	0.012	ratio	3	0.006
50	$i_{P\_SF\_mol\_perc} (i_{pst})$	P/C: fermentable biodegradable soluble organics	0.005	ratio	3	0.0025
51	$i_{P\_Org\_mol\_perc} (i_{poo})$	P/C: organisms	0.031	ratio	3	0.0155
52	$i_{P\_XUinf\_mol\_perc} (i_{pxui})$	P/C: unbiodegradable particulate organics	0.012	ratio	3	0.006
53	$i_{P\_SU\_mol\_perc} (i_{psu})$	P/C: unbiodegradable soluble organics	0.03	ratio	3	0.015
54	$f_{S\_I}$	Fraction of inert COD in particulate substrate	0.003	ratio	3	0.0015
56	$f_{XU\_Bio\_lysis} (f_{xb})$	Fraction of inert COD generated in biomass lysis	0.08	gCOD/gCOD	1	0.004
57	$i_{H\_XBorg\_mol\_perc} (i_{hno})$	H/C: biodegradable particulate organics	1.454	ratio	3	0.727
58	$i_{H\_XBinf\_mol\_perc} (i_{hxbi})$	H/C: PS biodegradable particulate organics	2.469	ratio	3	1.2345
59	$i_{H\_XUOrg\_mol\_perc} (i_{hxuo})$	H/C: endogenous residue organics	1.567	ratio	3	0.7835
60	$i_{H\_SF\_mol\_perc} (i_{hst})$	H/C: fermentable biodegradable soluble organics	2.004	ratio	3	1.002
61	$i_{H\_Org\_mol\_perc} (i_{hno})$	H/C: organisms	1.454	ratio	3	0.727
62	$i_{H\_XUinf\_mol\_perc} (i_{hxui})$	H/C: unbiodegradable particulate organics	1.567	dUnit/dUnit	3	0.7835
63	$i_{H\_SU\_mol\_perc} (i_{hsu})$	H/C: unbiodegradable soluble organics	1.648	dUnit/dUnit	3	0.824
64	$i_{O\_XBorg\_mol\_perc} (i_{ooo})$	O/C: biodegradable particulate organics	0.357	ratio	3	0.1785
65	$i_{O\_XBinf\_mol\_perc} (i_{obxi})$	O/C: PS biodegradable particulate organics	0.848	ratio	3	0.424
66	$i_{O\_XUOrg\_mol\_perc} (i_{ouxo})$	O/C: endogenous residue organics	0.565	ratio	3	0.2825
67	$i_{O\_SF\_mol\_perc} (i_{ost})$	O/C: fermentable biodegradable soluble organics	0.66	ratio	3	0.33
68	$i_{O\_Org\_mol\_perc} (i_{oon})$	O/C: organisms	0.357	ratio	3	0.1785
69	$i_{O\_XUinf\_mol\_perc} (i_{ouxui})$	O/C: unbiodegradable particulate organics	0.565	ratio	3	0.2825
70	$i_{O\_SU\_mol\_perc} (i_{osu})$	O/C: unbiodegradable soluble organics	0.511	ratio	3	0.2555
71	$i_{Ca\_PP\_mol\_perc} (i_{capp})$	Ca/P: polyphosphate	0.053	ratio	3	0.0265
72	$i_{K\_PP\_mol\_perc} (i_{kpp})$	K/P: polyphosphate	0.312	ratio	3	0.156
73	$i_{Mg\_PP\_mol\_perc} (i_{mgpp})$	Mg/P: polyphosphate	0.297	ratio	3	0.1485

**Table 9.** Parameters used in simulating the UCTSDM3P Model

No.	Parameter	Description	Initial value	Units	Class	Uncertainty
1	$K_{CO_2}$	Rate constant for CO <sub>2</sub> exchange	0.1	1/d	3	0.05
2	$b_{ac}(b_{Ac})$	Decay rate constant for X_AC	0.015	1/d	2	0.003
3	$b_{ad}(b_{Ad})$	Decay rate constant for X_AD	0.041	1/d	2	0.0082
4	$b_{am}(b_{Am})$	Decay rate constant for X_AM	0.037	1/d	2	0.0074
5	$K_{M\_Borg\_AD\_hyd}(K_{Mbo})$	Half saturation coeff. for WAS BPO hydrolysis	1.855	1/d	3	0.9275
6	$K_{M\_Binf\_AD\_hyd}(K_{Mbi})$	Half saturation coeff. for PS BPO hydrolysis	6.797	1/d	3	3.3985
7	$k_{H\_F\_AD\_hyd}(k_f)$	Hydrolysis rate constant for FSO	10	1/d	3	5
8	$b_{hm}(b_{Hm})$	Decay rate constant for X_HM	0.01	1/d	2	0.002
9	$b_{OH\_AD}(b_{Oh})$	Decay rate constant for X_OHO	13.3	1/d	3	6.7
10	$b_{PAO\_AD}(b_{pa})$	Decay rate constant for X_PAO	13.3	1/d	3	6.7
11	$k_{H\_PHA\_AD\_hyd}(b_{phahyd})$	Hydrolysis rate constant for X_PHA	2	1/d	3	1
12	$k_{H\_PP\_AD\_hyd}(b_{pphyd})$	Hydrolysis rate constant for X_PP	1	1/d	3	0.5
13	$k'r_{cal}(k_{cal})$	Dissolution of calcite	0.5	1/d	3	0.25
14	$k'r_{cap}(k_{cap})$	Dissolution of calcium phosphate	350	1/d	3	175
15	$k'r_{mag}(k_{mag})$	Dissolution of magnesite	50	1/d	3	25
16	$k'r_{mgkp}(k_{mgkp})$	Dissolution of K-struvite	1000	1/d	3	500
17	$k'r_{newb}(k_{newb})$	Dissolution of newbryte	0.05	1/d	3	0.025
18	$k'r_{stru}(k_{stru})$	Dissolution of struvite	2000	1/d	3	1 000
19	$K_{I\_H_2}(K_{IH_2})$	Inhibition coefficient for H <sub>2</sub> in acidogenesis	1.25	g/m <sup>3</sup>	2	0.25
20	$K_{I\_H\_AM}(K_{IHAm})$	H <sup>+</sup> inhibition for acetoclastic methanogens	0.00000115	mol/kg	2	0.00000023
21	$K_{I\_H\_HM}(K_{IHHm})$	H <sup>+</sup> inhibition for hydrogenotrophic methanogens	0.00053	mol/kg	2	0.000106
22	$K_{S\_AC}(K_{sAc})$	Half Sat coeff. for acetogens	6.59	g/m <sup>3</sup>	2	1.32
23	$K_{S\_AD}(K_{sAd})$	Half Sat coeff. for acidogens	140	g/m <sup>3</sup>	2	0.28
24	$K_{S\_AM}(K_{sAm})$	Half Sat coeff. for acetoclastic methanogens	0.78	g/m <sup>3</sup>	2	0.156
25	$K_{S\_Borg\_AD\_hyd}(K_{Sbohyd})$	Rate constant for WAS BPO hydrolysis	8.409	gCOD/gCOD	3	4.2045
26	$K_{S\_Binf\_AD\_hyd}(K_{Sblyhd})$	Rate constant for PS BPO hydrolysis	10.829	gCOD/gCOD	3	5.4145
27	$K_{S\_HM}(K_{SHm})$	Half sat. coeff. for X_HM	0.3145	g/m <sup>3</sup>	2	0.0629
28	$\mu_{AC}(\mu_{Ac})$	Max specific growth rate for acetogens (X_AC)	1.15	1/d	1	0.0575
29	$\mu_{AD}(\mu_{Ad})$	Max specific growth rate for acidogens (X_AD)	0.8	1/d	1	0.04
30	$\mu_{AM}(\mu_{Am})$	Max specific growth rate for X_AC	4.39	1/d	1	0.2195
31	$\mu_{HM}(\mu_{Hm})$	Max specific growth rate for X_HM	1.2	1/d	1	0.06
32	$Y_{AC}(Y_{Ac})$	Acidogenesis yield (COD/COD)	0.0278	-	1	0.00139
33	$Y_{AD}(Y_{Ad})$	Low H <sub>2</sub> acetogenesis yield (COD/COD)	0.1074	-	1	0.00537
34	$Y_{AH}(Y_{Ah})$	High H <sub>2</sub> acetogenesis yield (COD/COD)	0.1074	-	1	0.00537
35	$Y_{AM}(Y_{Am})$	Acetoclastic methanogenesis yield (COD/COD)	0.0157	-	1	0.000785
36	$Y_{HM}(Y_{Hm})$	Hydrogenotrophic methanogenesis yield	0.004	gCOD/gCOD	1	0.0002
37	$i_{N\_XBOrg\_mol\_perc}(i_{no})$	N/C: biodegradable particulate organics	0.227	ratio	3	0.1135
38	$i_{N\_XBinf\_mol\_perc}(i_{nxb})$	N/C: PS biodegradable particulate organics	0.033	ratio	3	0.0165
39	$i_{N\_XUOrg\_mol\_perc}(i_{nxuo})$	N/C: endogenous residue organics	0.062	ratio	3	0.031
40	$i_{N\_SF\_mol\_perc}(i_{nst})$	N/C: fermentable biodegradable soluble organics	0.058	ratio	3	0.029
41	$i_{N\_Org\_mol\_perc}(i_{no})$	N/C: organisms	0.227	ratio	3	0.1135
42	$i_{N\_XUinf\_mol\_perc}(i_{nxui})$	N/C: unbiodegradable particulate organics	0.062	ratio	3	0.031
43	$i_{N\_SU\_mol\_perc}(i_{nsu})$	N/C: unbiodegradable soluble organics	0.135	ratio	3	0.0675
44	$i_{P\_XBOrg\_mol\_perc}(i_{pob})$	P/C: biodegradable particulate organics	0.031	ratio	3	0.0155
45	$i_{P\_XBinf\_mol\_perc}(i_{pxb})$	P/C: PS biodegradable particulate organics	0.013	ratio	3	0.0065
46	$i_{P\_XUOrg\_mol\_perc}(i_{pxuo})$	P/C: endogenous residue organics	0.012	ratio	3	0.006
47	$i_{P\_SF\_mol\_perc}(i_{pst})$	P/C: fermentable biodegradable soluble organics	0.005	ratio	3	0.0025
48	$i_{P\_Org\_mol\_perc}(i_{po})$	P/C: organisms	0.031	ratio	3	0.0155
49	$i_{P\_XUinf\_mol\_perc}(i_{pxui})$	P/C: unbiodegradable particulate organics	0.012	ratio	3	0.006
50	$i_{P\_SU\_mol\_perc}(i_{psu})$	P/C: unbiodegradable soluble organics	0.03	ratio	3	0.015
51	$f_{XU\_Bio\_lysis}(f_{xub})$	Fraction of dead biomass to endogenous residue	0.08	ratio	1	0.004
52	$k_{M\_PP\_PAO\_PHA}(k_{Mpppha})$	Rate constant for X_PP release	5	1/d	3	2.5
53	$ISS_{BM}(f_{bio})$	ISS to biomass for X_OHO and X_PAO	0.15	g/gCOD	1	0.0075
54	$i_{H\_XBOrg\_mol\_perc}(i_{hob})$	H/C: biodegradable particulate organics	1.454	ratio	3	0.727
55	$i_{H\_XBinf\_mol\_perc}(i_{hxb})$	H/C: PS biodegradable particulate organics	2.469	ratio	3	1.2345
56	$i_{H\_XUOrg\_mol\_perc}(i_{hxuo})$	H/C: endogenous residue organics	1.567	ratio	3	0.7835
57	$i_{H\_SF\_mol\_perc}(i_{hst})$	H/C: fermentable biodegradable soluble organics	2.004	ratio	3	1.002
58	$i_{H\_Org\_mol\_perc}(i_{ho})$	H/C: organisms	1.454	ratio	3	0.727
59	$i_{H\_XUinf\_mol\_perc}(i_{hxui})$	H/C: unbiodegradable particulate organics	1.567	ratio	3	0.7835
60	$i_{H\_SU\_mol\_perc}(i_{hsu})$	H/C: unbiodegradable soluble organics	1.648	ratio	3	0.824
61	$i_{O\_XBOrg\_mol\_perc}(i_{ob})$	O/C: biodegradable particulate organics	0.357	ratio	3	0.1785
62	$i_{O\_XBinf\_mol\_perc}(i_{obx})$	O/C: PS biodegradable particulate organics	0.848	ratio	3	0.424
63	$i_{O\_XUOrg\_mol\_perc}(i_{ouxuo})$	O/C: endogenous residue organics	0.565	ratio	3	0.2825
64	$i_{O\_SF\_mol\_perc}(i_{ost})$	O/C: fermentable biodegradable soluble organics	0.66	ratio	3	0.33
65	$i_{O\_Org\_mol\_perc}(i_{oo})$	O/C: organisms	0.357	ratio	3	0.1785
66	$i_{O\_XUinf\_mol\_perc}(i_{ouxui})$	O/C: unbiodegradable particulate organics	0.565	ratio	3	0.2825
67	$i_{O\_SU\_mol\_perc}(i_{ossu})$	O/C: unbiodegradable soluble organics	0.511	ratio	3	0.2555
68	$i_{Ca\_PP\_mol\_perc}(i_{Capp})$	Ca/P: polyphosphate	0.053	ratio	3	0.0265
69	$i_{K\_PP\_mol\_perc}(i_{Kpp})$	K/P: polyphosphate	0.312	ratio	3	0.156
70	$i_{Mg\_PP\_mol\_perc}(i_{Mgpp})$	Mg/P: polyphosphate	0.297	ratio	3	0.1485

## SENSITIVITY ANALYSIS

The importance of sensitivity analysis in model calibration is prompted by the notable limitation in the applicability of various WWTP dynamic models, based on the complexities brought about by wide ranges of parameters and the intricate dependence of output variables on these parameters and other state variables. For simpler steady-state models (i.e., those of Wentzel et al. (1990) for BEPR AS systems and Sötemann et al. (2005); Ekama (2009); Ikumi (2011) for AD systems) the identification of major stoichiometric parameters could be identified intuitively, since these models contain explicit equations linking parameters to output variables. However, the more complex dynamic models are based on differential equations, for prediction of output variables due to changing material loads and flows. The performance of a complete sensitivity analysis on the dynamic model allowed for assessment of both linear and/or non-linear effects of all the model parameters on the output variables.

Two sensitivity analysis methods were applied in this study – i.e., Morris screening (screening method) and standardised regression coefficients (based on regression). The application of multiple sensitivity analysis methods with multiple objectives was done as recommended by Neumann (2012), as this is expected to lead to more robust conclusions. The results obtained using these methods were used to identify (i) important parameters that would cause a significant change in model outputs, and hence need to be known well, (ii) non-influential parameters (those that can be set to any value within their range without much change in outputs) and (iii) interacting parameters (Neumann, 2012).

To initiate the sensitivity analysis process, uncertainty propagation was conducted by a Monte Carlo (MC) simulation of the model by random sampling of parameter values. The parameter value ranges (i.e., lower ( $\theta_{i\_min}$ ) and upper ( $\theta_{i\_max}$ ) prior bounds for the MC simulation) were chosen according to the method proposed by Brun et al. (2002). These parameters were assumed to be uniformly distributed within their ranges. A 1 000 simulations were performed using the WEST software (Vanhooren et al., 2003), with 1 000 sets of random parameter values generated in this way, to provide 1 000 sets of values for the selected output variables, which could then be visualised as histograms or density distributions or characterised in terms of descriptive statistics.

### Standard regression coefficient method

The standard regression coefficients ( $SRC_{ij}$ ) due to each parameter quantify the effect on variable  $j$  when parameter  $i$  is changed (hence allows prioritisation of important parameters). The SRC method involves the fitting of a multivariate linear model to the output of the MC simulation (Martin et al., 2010; Neumann et al., 2012). The SRC's multivariate linear regressions relate each output variable ( $y_j$ ) to all uncertainty parameters ( $\theta_j$ ), to get an equation of the form:

$$y_j(\theta) = b_{j0} + \sum_{i=1}^r b_{ij} \cdot \theta_i \quad (1)$$

The standard regression coefficient is defined as

$$SRC_{ij} = \beta_{ij} = b_{ij} \cdot \frac{\sigma_{y_j}}{\sigma_{\theta_i}} \quad (2)$$

where  $b_i$  is the slope obtained from linear regression;  $\sigma_{\theta_{ij}}$  is the standard deviation of the 1 000 parameter values generated for parameter  $i$ , and  $\sigma_{y_j}$  is the resulting standard deviation of output variable  $y_j$ . Finally, the coefficient of determination ( $R^2$ ), that indicates how well the multilinear regression model fits the variable's responses, was also calculated using the R program (R Development Core Team, 2011). This indicates how much confidence can be placed in using the calculated values in predicting future results. For variables with  $R^2 > 0.7$ , the SRCs ( $\beta_j$ ) are a valid measure of sensitivity (Saltelli et al., 2004).

## Morris screening method

Morris's screening method (Morris, 1992) is a method used to determine elementary effects for each parameter, to identify which parameters affect the model output variables significantly, and to eliminate non-influential parameters. The computation of these elementary effects requires the variation of one parameter at a time (OAT) across a select number of  $k$  levels (in this case 10), requiring  $k \cdot r$  simulations (where  $r$  is the number of parameters). In this design, each model parameter is varied within a selected uncertainty range of  $p$ , which is also determined using the method proposed by Brun et al. (2002). While a particular parameter was varied, all others were assigned their mid-range values. The elementary effect of parameter  $\theta_i$  on variable  $y_j$  is calculated as:

$$d_{ij}(\theta_i) = \frac{[y_j(\theta_i, \dots, \theta_{i-1}, \theta_i + \Delta, \theta_{i+1}, \dots, \theta_r) - y_j(\theta)]}{\Delta} \quad (3)$$

where  $y(\theta)$  is the output variable obtained when all parameters are set to their prior values, i.e.,  $y(\theta_1, \theta_2, \dots, \theta_r)$ .

The mean ( $\mu_{ij}$ ) and standard deviation ( $\sigma_{ij}$ ) of the calculated  $k$  elementary effects are determined for each parameter as measures of the parameter importance.  $\mu_{ij}$  is used to detect parameters with an important overall influence on the output, while  $\sigma_{ij}$  is used to detect parameters involved in interaction with other parameters or whose effect is non-linear (Neumann, 2012; Campolongo et al., 2007).

## RESULTS

Neumann (2012) proposes interpretation of sensitivity analysis results through considering the parameters that are most important (which would cause significant changes in model outputs, hence are a priority to be known), and non-influential (hence can be placed anywhere within their uncertainty range without incurring much of a change). In the following sensitivity analysis, the parameters are grouped into those that are kinetic (hence affect the process rates) and those that are non-kinetic (mainly used in determination of the input component characteristics (e.g., the X, Y, Z, A and B values of biomass elemental composition  $C_X H_Y O_Z N_A P_B$ ) or yield for OHO biomass growth,  $Y_{H1}$ ).

Table 10 shows the standardised regression coefficients (SRC,  $\beta_j$ ) and resulting coefficient of determination for the most important parameters (having the greatest SRC magnitudes) of selected output variables, from the ASM2-3P and UCTSDM3P models. The SRCs are taken to be a valid measure of sensitivity as long as the resulting coefficient of determination,  $R^2$ , is greater than 0.7 (Saltelli et al., 2004). However, lower degrees of linearity indicate that the SRC is being used outside the application range, which could cause the underestimation of important parameters, hence cannot offer a useful contribution towards the estimates of parameter prioritisation (Neumann, 2012). Additional to SRC data, the results from the Morris screening method are also presented – by plotting the expectancy ( $\mu'$ ) of the absolute values of the elementary effects against the standard deviation  $\sigma$  of the elementary effects for each parameter.

### Activated sludge (AS) systems

In this section the results from the sensitivity analysis of the PWM\_SA model parameters, when used to simulate the NDBEPR UCT system (see Fig. 1), are presented.

Biological P removal involves (i) the anaerobic utilisation of volatile fatty acids to form PHB, which occurs with polyphosphate release to OP, and (ii) the aerobic breakdown of PHB for PAO growth and PP synthesis and uptake. The various parameters identified to be of importance using the SRC and Morris screening sensitivity analysis techniques for the relevant bio P removal model predicted outputs are discussed below.



**Table 10.** Summary of results for PWM\_SA (ASM2-3P and UCTSDM3P) uncertainty and sensitivity analysis using SRC method

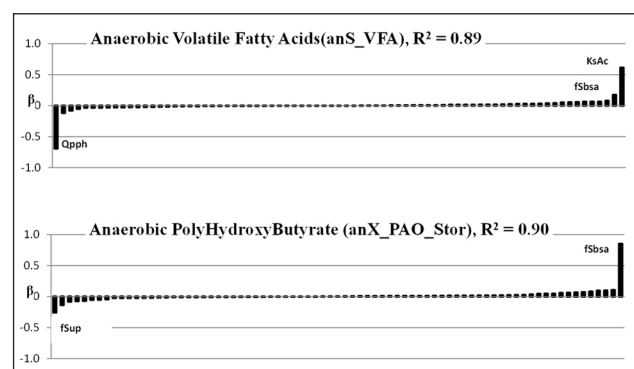
Model	Unit	Variable	Standard regression coefficient ( $\beta$ )		$R^2$	Kinetic sum (SRC <sup>2</sup> )	Stoich. sum (SRC <sup>2</sup> )	Total sum (SRC <sup>2</sup> )		
			Most positive	Most negative						
ASM2-3P	Anaerobic Zone	FSO (an_SF)	$i_{osf}$	0.57	$Q_{fac}$	-0.42	0.86	0.38	0.45	0.83
		Nitrates (an_No <sub>x</sub> )	$K_{noxh}$	0.37	$K_{sohf}$	-0.29	0.74	0.39	0.33	0.72
		Orthophosphates (an_OP)	$f_{sbsa}$	0.87	$f_{sup}$	-0.26	0.99	0.05	0.93	0.98
		Acetate (an_Ac)	$K_{sac}$	0.61	$Q_{pph}$	-0.69	0.90	0.87	0.08	0.95
		Poly-phosphates (an_PP)	$K_{mxxpp}$	0.47	$i_{ho}$	-0.30	0.96	0.35	0.59	0.94
		Poly-hydroxy-alkanoates (an_PHA)	$f_{sbsa}$	0.85	$f_{sup}$	-0.26	0.91	0.06	0.84	0.90
	Anoxic Zone	Ammonia (ax_NH <sub>4</sub> )	$i_{no}$	0.17	$\mu_{ano}$	-0.42	0.41	0.29	0.11	0.40
		Nitrates (ax_NO <sub>3</sub> )	$i_{nxbi}$	0.44	$i_{nxbi}$	-0.29	0.80	0.30	0.47	0.77
		pH (ax_pH)	$i_{nxbi}$	0.72	$i_{oxbi}$	-0.37	0.97	0.04	0.98	1.02
	Aerobic Zone	Calcium (ae_Ca)	$i_{no}$	0.18	$i_{capp}$	-0.75	0.96	0.13	0.82	0.95
		Potassium (ae_K)	$i_{no}$	0.19	$i_{kpp}$	-0.73	0.96	0.14	0.80	0.94
		Magnesium (ae_Mg)	$i_{no}$	0.18	$i_{mgpp}$	-0.71	0.95	0.12	0.77	0.89
		Ammonia (ae_NH)	$i_{nxbi}$	0.35	$\mu_{ano}$	-0.49	0.69	0.37	0.31	0.68
		Nitrates (ae_No <sub>x</sub> )	$i_{nxbi}$	0.64	$i_{no}$	-0.41	0.81	0.07	0.83	0.90
		Ortho-phosphates (ae_OP)	$i_{no}$	0.28	$f_{sbsa}$	-0.48	0.97	0.23	0.75	0.98
		Autotrophic nitrifiers (ae_ANO)	$i_{nxbi}$	0.64	$i_{no}$	-0.43	0.93	0.07	0.87	0.94
		Ordinary heterotrophic organisms (ae_OHO)	$\gamma_{oho}$	0.28	$f_{sbsa}$	-0.50	0.95	0.35	0.58	0.92
		Phosphorus accumulating organisms (ae_PAO)	$f_{sbsa}$	0.60	$f_{sup}$	-0.28	0.98	0.19	0.67	0.86
		Polyphosphate (ae_PP)	$f_{sbsa}$	0.44	$i_{ho}$	-0.28	0.96	0.32	0.62	0.94
		Poly-hydroxy-alkanoate (aePHA)	$K_{spha}$	0.21	$\mu_{pao}$	-0.14	0.17	0.11	0.07	0.19
Oxygen utilisation rate (OUR)		$i_{nxbi}$	0.30	$f_{sup}$	-0.63	0.95	0.08	0.87	0.94	
Volatile settleable solids (VSS)		$K_{snhan}$	0.17	$\mu_{ano}$	-0.49	0.35	1.02	1.10	2.12	
UCTSDM3P		Anaerobic Digester (AD)	Methane (CH <sub>4</sub> )	$K_{Mbohyd}$	0.33	$K_{Sbohyd}$	-0.70	0.96	0.60	0.34
	Calcium (S_Ca)		$i_{capp}$	0.42	$i_{no}$	-0.70	0.87	0.02	0.87	0.89
	Potassium (S_K)		$i_{kpp}$	1.00	$i_{mgpp}$	-0.08	1.00	0.00	1.00	1.00
	Magnesium (S_Mg)		$i_{mgpp}$	0.62	$i_{no}$	-0.68	0.91	0.01	0.92	0.92
	Ammonia (S_NH)		$i_{no}$	0.94	$i_{ho}$	-0.18	0.98	0.03	0.97	1.00
	Phosphates (S_PO <sub>4</sub> )		$i_{po}$	0.49	$i_{no}$	-0.69	0.92	0.00	0.94	0.94
	Biodegradable particulate organics (XBOrg)		$K_{Sbohyd}$	0.69	$K_{Mbohyd}$	-0.34	0.95	0.59	0.32	0.91
	Newberryte (X_Newb)		$i_{mgpp}$	0.49	$i_{no}$	-0.29	0.49	0.12	0.36	0.49
	Polyphosphate (X_PP)		$i_{psu}$	0.01	$k_{pphyd}$	-1.00	0.99	0.99	0.00	0.99
	Poly-hydroxy-butyrate (X_PHB)		$i_{no}$	0.03	$k_{phahyd}$	-0.93	0.87	0.88	0.00	0.88
	Struvite (X_Struv)		$i_{no}$	0.86	$i_{oo}$	-0.22	0.86	0.01	0.86	0.88
	Calcium phosphate (X_ACP)		$i_{no}$	0.65	$i_{mgpp}$	-0.26	0.89	0.02	0.90	0.91
	Cabonate alkalinity (CO3 Alk)		$i_{no}$	0.86	$i_{ho}$	-0.34	0.96	0.02	0.96	0.98
	Chemical oxygen demand (COD)		$i_{hxuo}$	0.39	$i_{oxui}$	-0.77	0.96	0.05	0.92	0.97
	Inorganic settleable solids (ISS)		$i_{no}$	0.86	$i_{oo}$	-0.22	0.87	0.01	0.87	0.89
	pH		$i_{no}$	0.56	$i_{oo}$	-0.30	0.81	0.02	0.84	0.86

These include (i) biomass growth and oxygen utilisation due to organic removal, (ii) ammonia utilisation and nitrate generation by autotrophic nitrifying organisms (ANOs), and (iii) bio-P removal through PP accumulation.

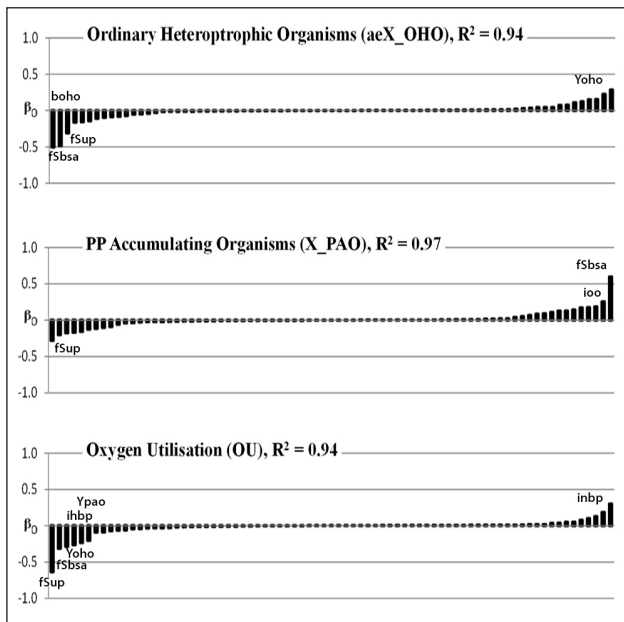
### Breakdown of organics for biomass growth and oxygen utilisation

The UCT plant-wide model (PWM\_SA) defines the characteristics of sewage biodegradable organics by parameterising the molar fraction elements from its given generic stoichiometric formula (i.e. X, Y, Z, A, B in C<sub>X</sub>H<sub>Y</sub>O<sub>Z</sub>N<sub>A</sub>P<sub>B</sub>). During simulations the mass-balanced stoichiometric processes are then used to track the energy, materials (C, H, O, N and P) and charge towards prediction of the unit process outputs. The energy (COD) and the nutrients (N and P) bound in biodegradable organics are biologically utilised in the reactors, while those in the unbiodegradable organics remain conserved (without participating in the biochemical reactions) and accumulate in the system with the solid (for particulate unbiodegradables, UPO) and liquid retention times (for particulate unbiodegradables, UPO, and soluble unbiodegradables, USO, respectively). The volatile fatty acids (VFAs, sourced from the influent or generated

through anaerobic fermentation) play a significant role in bio-P removal, as they are taken up by PAOs as their source of substrate. It can be noticed from Figs 2 and 3 that the fractionation of influent waste and determination of the fraction of influent COD that is unbiodegradable particulate ( $f_{sup}$ ) and VFA ( $f_{sbsa}$ ) are very important parameters that influence the growth of biomass (hence sludge generation) and oxygen utilisation in the AS system.



**Figure 2.** SRC results for sensitivity analysis of anaerobic variables S\_VFA and X\_PAO\_Stor (PHB) to ASM2-3P parameters

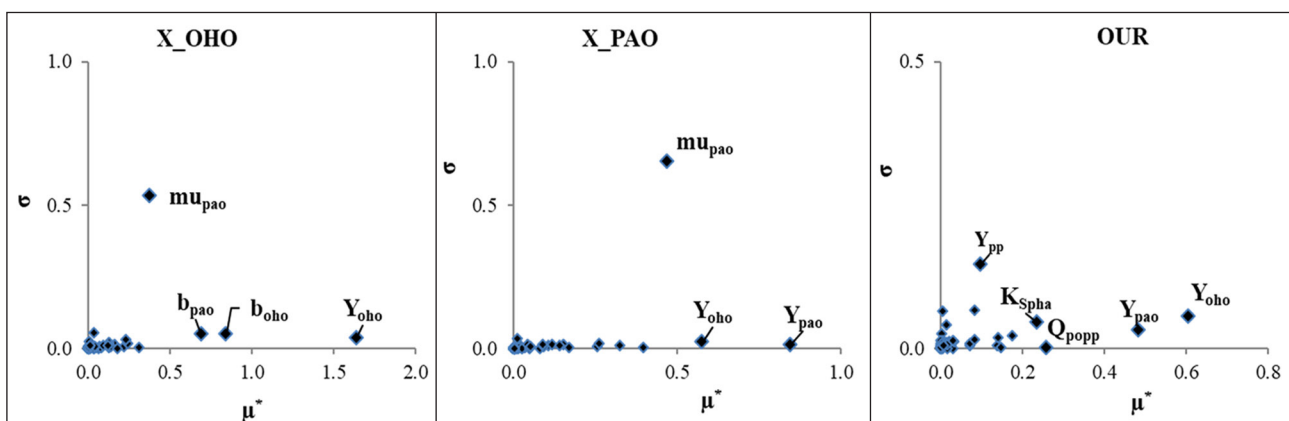


**Figure 3.** SRC results for sensitivity analysis of aerobic variables X\_OHO, X\_PAO and oxygen utilisation rate (OU) to ASM2-3P parameters

For the reactor VFA concentration, the SRC method exhibits that  $K_{sAC}$  (i.e., the saturation/inhibition coefficient for acetate utilisation) and  $Q_{pph}$  (i.e., the rate constant for storage of poly- $\beta$ -hydroxybutyrate (PHB)) are also significant parameters (Fig. 2). The PAOs rely mostly on anaerobic uptake of readily biodegradable material for growth (i.e., fermentable biodegradable soluble organics (FBSO) and VFAs). These readily biodegradable organics are converted to an energy storage compound (poly- $\beta$ -hydroxybutyrate, PHB) that is later used aerobically for growth and polyphosphate storage (Wentzel et al., 1990). By dictating the rate of PHB uptake,  $Q_{pph}$  significantly influences the quantity of substrate allocated for growth of PAOs, with the remainder of the biodegradable organics mainly apportioned to the OHOs. This substrate allocation, of course, depends on the availability of VFAs (that are present in the influent or are generated through anaerobic fermentation FBSOs), for conversion to PHB. Intuitively, it is also expected that the  $Y_{pao}$  (yield coefficient for utilisation of PHB in PAO biomass growth) would have a significant positive influence on the predicted reactor PAO population, since it dictates the substrate allocation for anabolic utilisation of PHB in the aerobic zone of the AS system. This is not clearly reflected by the SRC results (Fig. 3) but can be noted by the Morris screening results (Fig. 4), which show  $Y_{pao}$  with the greatest influence and  $\mu_{pao}$  (the maximum specific growth rate of PAOs) with the highest degree of non-linearity.

The OHO biomass has various parameters of importance, as indicated by Fig. 3. This includes the kinetic parameter  $b_{oho}$  (i.e., the rate constant for lysis and decay of OHO biomass) and stoichiometric parameter  $Y_{oho}$  (i.e., the yield coefficient for OHO biomass growth). The Morris screening method also indicates  $Y_{oho}$  to be the significant parameter, with  $\mu_{pao}$  (the maximum specific growth rate of OHOs) having the highest degree of non-linearity (Fig. 4). The parameters that contribute to biomass elemental formulation (i.e.,  $i_{no}$ ,  $i_{ho}$ ,  $i_{oo}$  and  $i_{no}$  – the H, O and N molar content in biomass elemental formula, respectively) are also expected to be significant because their values will determine the electron-donating capacity of the biomass, hence their electron requirements to carry out their metabolic processes. The fraction of energy allocated from breakdown of biodegradable organics to build up biomass cells depends on the biomass yield (i.e.,  $Y_{oho}$ ), hence the notable positive impact of this parameter. The  $b_{oho}$  is shown to have a significant (though negative) influence on reactor OHO concentration because it determines rate of OHO biomass death and degradation (hence a high  $b_{oho}$  value would result in low biomass population, for a given system sludge age). The  $Q_{pph}$  is also expected to have a negative influence here because the biodegradable organics in the influent that are taken up by PAOs are deducted from the organics utilisable by OHOs (the configuration of NDBEPR systems allows for the influent to be exposed to the anaerobic zone prior to aerobic, ensuring that the readily biodegradables are first available for sequestering by PAOs before the OHOs can utilise them aerobically – this allows the PAOs the competitive advantage required for them to co-exist with OHOs as mixed cultures in the NDBEPR AS system). The capability of the model to replicate this system behaviour was evaluated by Ikumi et al. (2015) by applying the PWM\_SA model to a Modified Ludzack Ettinger (MLE) system, whereby in this case no PAO growth (hence no PP storage) occurred. However, the same MLE system with little or no nitrification (the un-aerated zone now anaerobic) exhibits growth of PAOs. Likewise, sufficiently high quantities of nitrate being recycled to the anaerobic reactor of an N and P removal system would suppress EBPR, as observed during winter in 3 and 5-stage Bardenpho systems, when denitrification is lower (Ikumi, 2011).

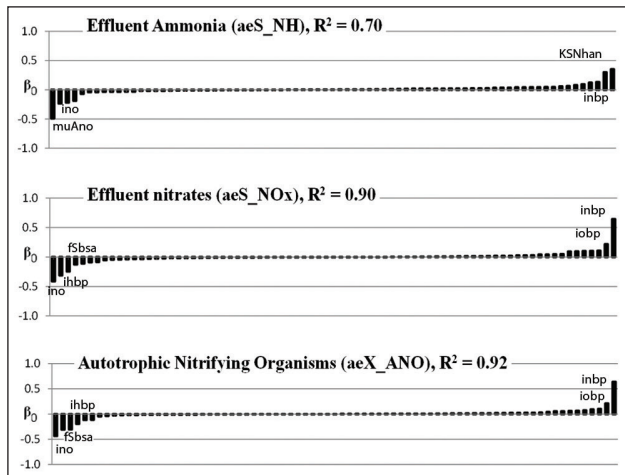
Both the SRC and Morris screening methods indicate that the biomass yield ( $Y_{oho}$  and  $Y_{pao}$ ) parameters have a significant influence on oxygen utilisation. The SRC results also show that the elemental composition of the biodegradable organics (i.e.,  $i_{hbp}$ ,  $i_{obp}$  – the H, O and N molar content in biodegradable organics elemental formulae, respectively) to be significant. This is expected because the biomass yield values dictate the proportion of substrate (biodegradable organics) electrons that are allocated to biomass, with the remainder apportioned to oxygen for generation of energy (hence the negative influence with SRC).



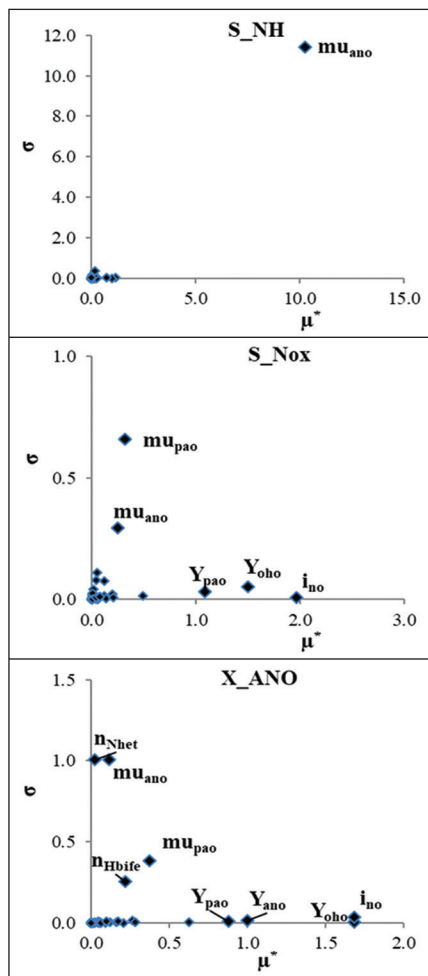
**Figure 4.** Morris screening results for sensitivity analysis of AS system biomass (X\_OHO, and X\_PAO) and oxygen utilisation rate (OU) to ASM2-3P parameters

## Utilisation of ammonia

According to the SRC method, the most significant parameters for prediction of effluent ammonia ( $\text{NH}_4$ ) concentration include  $K_{S_{\text{Nhan}}}$  (i.e., the saturation coefficient of autotrophs for ammonium),  $\mu_{\text{ano}}$  (i.e., maximum growth rate of autotrophic nitrifying organisms) and  $i_{\text{no}}$  (See Fig. 5). This is expected because the  $i_{\text{no}}$  dictates the nitrogen requirement, to be sourced from the pool of ammonia in the reactor, for biomass growth. In the activated sludge (AS) models, the ammonia use gives priority to its use as a nutrient during anabolism of faster growing biomass (OHO and PAO) before the 'excess' ammonia is used



**Figure 5.** SRC results for sensitivity analysis of ammonia ( $S_{\text{NH}}$ ), nitrates ( $S_{\text{NOx}}$ ) and ANO biomass ( $X_{\text{ANO}}$ ) to ASM2-3P parameters



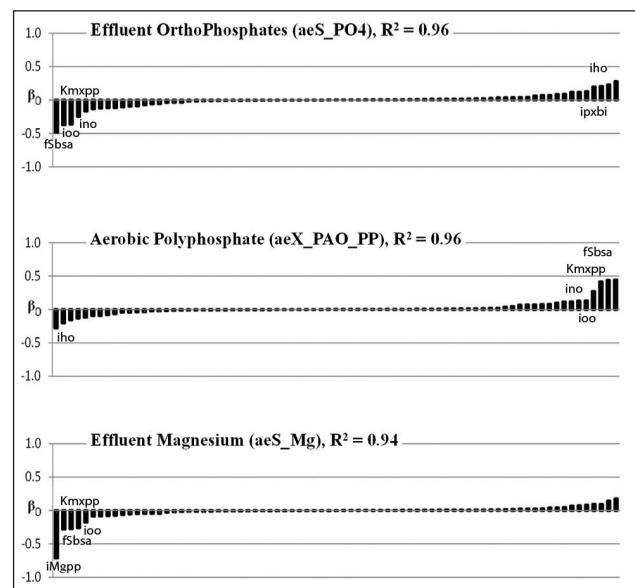
**Figure 6.** Morris screening results for sensitivity analysis of ammonia ( $S_{\text{NH}}$ ), nitrates ( $S_{\text{NOx}}$ ) and ANO biomass ( $X_{\text{ANO}}$ ) to ASM2-3P parameters

for nitrification (the nitrifying organisms that use the ammonia as e-donor are slower growing microorganisms). This is possibly also the reason for  $i_{\text{no}}$  being a significant parameter for effluent nitrate ( $\text{NO}_3^-$ ) concentrations. Despite this, the ammonia used for nitrification is usually higher than that for biomass growth; hence the parameters that drive the kinetics of this process ( $\mu_{\text{ano}}$  and  $K_{S_{\text{Nhan}}}$ ) have a significant influence. Similar to ammonia and nitrates, the ANO biomass is influenced by  $i_{\text{no}}$  and  $b_{\text{ano}}$  (Fig. 5). Moreover, the fraction of influent COD as VFA ( $f_{\text{Sbsa}}$ ), together with the elemental composition of the biodegradable particulate organics (notable by the significance of  $i_{\text{nbp}}$ ,  $i_{\text{hbp}}$ ,  $i_{\text{obp}}$  parameters), are influential towards ANO growth and reactor nitrate concentration.

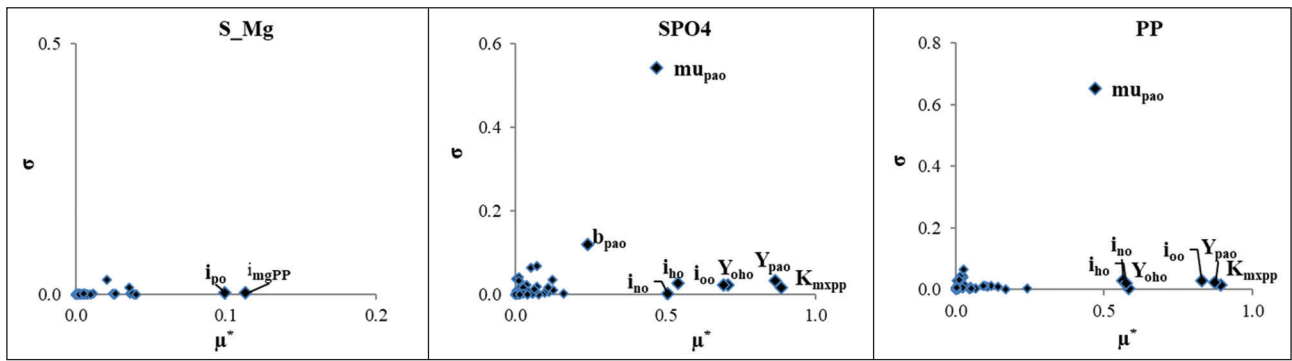
The Morris screening results indicate similar parameters as being of significance to SRC ( $\mu_{\text{ano}}$ , has a high  $\mu^*$  value and also a relatively significant degree of non-linearity for ammonia concentration. For the ANO growth and reactor nitrate concentration,  $\mu_{\text{ano}}$  appears to have more of a non-linear influence, but still a relatively low  $\mu^*$  value. However, also similar to SRC, the results show that  $i_{\text{no}}$  is the most important parameter for these variables. Further notable parameters influencing ANO growth and reactor nitrate concentration are  $Y_{\text{oho}}$  and  $Y_{\text{pao}}$  (Fig. 6). This is possible because the increased growth of OHO and PAO biomass would result in a greater N requirement as nutrient source, especially if the  $i_{\text{no}}$  value is high.

## Biological phosphorus removal

Figures 7 and 8 indicate the parameters of significance for P removal via aerobic PP uptake and prediction of effluent OP concentration. According to the SRC method of sensitivity analysis, the most important parameters for OP are  $k_{\text{mxpp}}$  (i.e., the maximum polyphosphate (PP) content of PAO biomass),  $f_{\text{Sbsa}}$ ,  $i_{\text{pbp}}$  (the P molar content in biodegradable organics elemental formula) and elemental composition of biomass (dictated by notable  $i_{\text{ho}}$ ,  $i_{\text{no}}$  and  $i_{\text{oo}}$  parameters). From the ASM2-3P model (Ikumi et al., 2015), PHB is aerobically utilised for PAO growth and for storage of PP. The  $k_{\text{mxpp}}$  parameter dictates the quantity of reactor OP to be utilised for PP formation, for each new PAO biomass formed (with sufficient PHB available aerobically, higher  $k_{\text{mxpp}}$  results in lower effluent OP). The  $i_{\text{pbp}}$  informs the quantity of P that could be released as OP from biodegradable particulates; hence – apart from the influent OP – act as a significant source of reactor OP that could be used in this process.



**Figure 7.** SRC results for sensitivity analysis of phosphates ( $S_{\text{PO4}}$ ), Poly-P ( $X_{\text{PAO\_PP}}$ ) and magnesium ( $S_{\text{Mg}}$ ) to ASM2-3P parameters



**Figure 8.** Morris screening results for sensitivity analysis of phosphates (S\_PO4), Poly-P (X\_PAO\_PP) and magnesium (S\_Mg) to ASM2-3P parameters

Since most of the OP used aerobically is utilised for the generation of PP (the OP taken up for biomass growth and released in biomass endogenous death is usually much lower), it can also be observed that the same parameters that are significant to OP also impact PP, but in the opposite way (PP increase results in OP decrease so the parameters that would have a negative effect on the formation of PP would have a positive effect on concentration of OP; see Fig. 7). Moreover, because Mg is also a crucial component to be utilised in generation of PP, similar parameters influencing OP concentration ( $f_{\text{bsa}}$ ,  $K_{\text{mxxx}}$  and  $i_{\text{oo}}$ ) are noted to be important for prediction of effluent Mg concentration. The only difference with Mg is that  $i_{\text{mgpp}}$  (i.e., the Mg molar content in polyphosphate) is an added significant parameter for aerobic concentration of Mg. An increase in  $i_{\text{mgpp}}$  indicates that more Mg is required for PP formation, which would result in decreased concentration of effluent Mg. This is observed in both the SRC and Morris screening method (see Figs 7 and 8) where  $i_{\text{mgpp}}$  has the highest  $\mu^*$  value (i.e., is the most important). Also, similar to SRC, the results from the Morris screening method also indicate  $K_{\text{mxxx}}$ ,  $i_{\text{ho}}$ ,  $i_{\text{no}}$  and  $i_{\text{oo}}$  to be significant parameters for aerobic PP and OP concentrations. However, other parameters of importance are the biomass yield values (i.e.,  $Y_{\text{oho}}$  and  $Y_{\text{pao}}$  with high  $\mu^*$  values) and  $\mu_{\text{pao}}$  (with the highest degree of non-linearity,  $\sigma$ ).

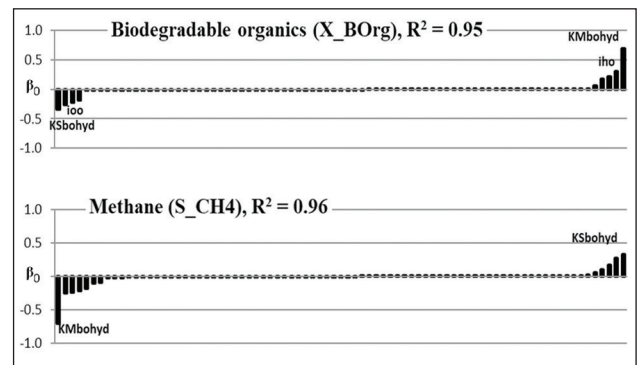
### Anaerobic digestion unit process

In simulating the AD of sludge generated (from PS and NDBEPR WAS) with the UCTSDM3P model, the selected output variables to be applied during the sensitivity analysis were those considered to be indicative of system performance and resource recovery. These variables included residual biodegradable organics (BPO), methane ( $\text{CH}_4$ ), ammonia ( $\text{NH}_4^+$ ), ortho-phosphates ( $\text{HPO}_4^{2-}$  and  $\text{H}_2\text{PO}_4^-$ ), metals (Mg, K and Ca), precipitates (mainly struvite), alkalinity (for carbonate,  $\text{H}_2\text{CO}_3$  Alk. and phosphate,  $\text{H}_2\text{PO}_4$  Alk., weak acid/base systems) and the system pH. The BPO removal and methane generation is associated with energy recovery potential; the prediction of low system pH indicates a warning for system failure and the aqueous phase products (e.g.,  $\text{NH}_4^+$ , OP, Mg, K and Ca) are later generated in the dewatering liquor that would either be recycled upstream or transferred to side-stream treatment processes.

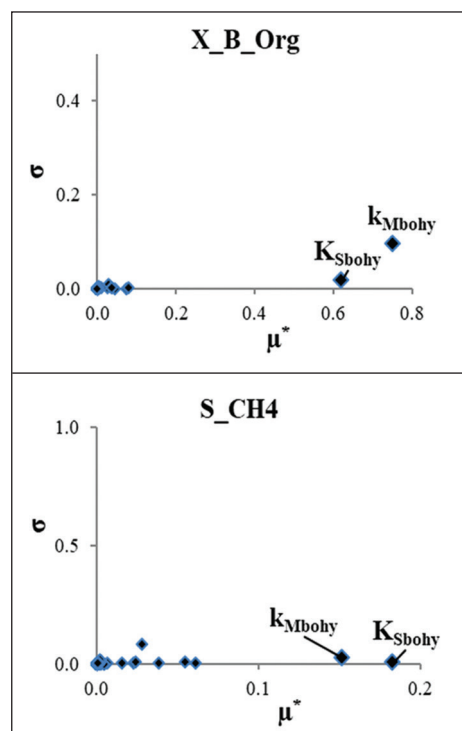
### BPO removal

The kinetics of hydrolysis of biomass BPO is usually the slowest process in AD and ends up determining the residual BPO and the nutrients released in the process, contributing towards final AD products. From Fig. 9, it can be noticed that for the WAS biomass BPO, the most important parameters are  $K_{\text{sbohyd}}$  and  $k_{\text{Mbohyd}}$  (i.e., the half saturation coefficient for hydrolysis of biomass BPO and the maximum specific hydrolysis rate constant for WAS biomass BPO, respectively). These are the hydrolysis rate kinetic constants that drive the breakdown of biomass BPO. The Morris screening results agree with the SRC, by showing the same hydrolysis kinetic

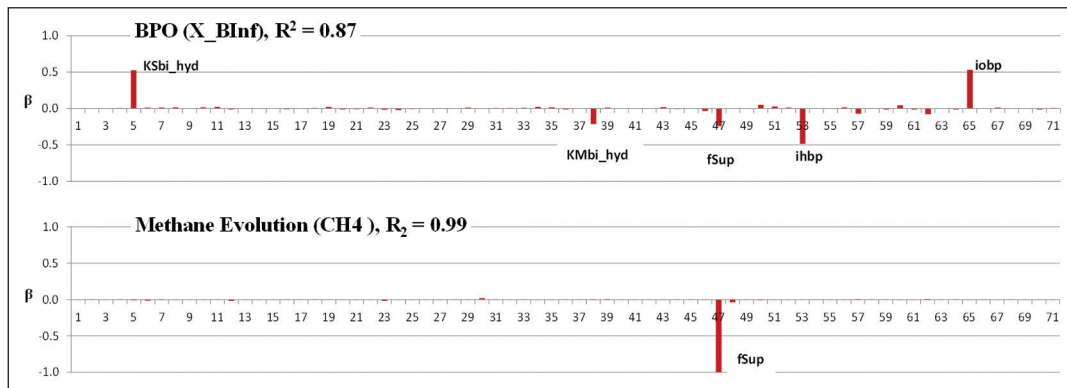
constants to be most influential (see Fig. 10). For the AD of PS, the  $K_{\text{sbihyd}}$  and  $k_{\text{Mbihyd}}$  are also important for PS BPO removal (Fig. 11). However, the unbiodegradable fraction of PS COD ( $f_{\text{sup}}$ ) is also significant (especially notable in the case of  $\text{CH}_4$  evolution), since it significantly impacts the quantity of substrate available for conversion to biogas (where, since soluble influent organics are acceptably of low concentrations, the BPO COD available for conversion to  $\text{CH}_4$  COD is mainly  $(1-f_{\text{sup}})$  of PS COD).



**Figure 9.** SRC results for sensitivity analysis of biomass biodegradable organics (X\_BOrg) and methane generation (S\_CH4) to UCTSDM3P parameters for EBPR WAS digestion



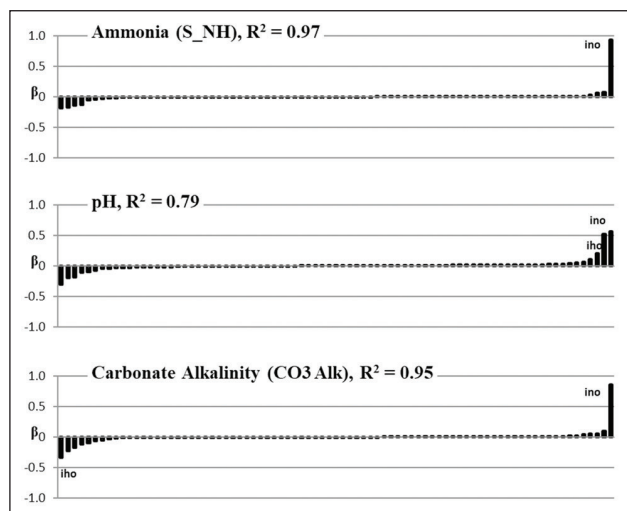
**Figure 10.** Morris screening results for sensitivity analysis of biomass biodegradable organics (X\_BOrg) and methane generation (S\_CH4) to UCTSDM3P parameters for EBPR WAS digestion



**Figure 11.** SRC results for sensitivity analysis of Influent biodegradable particulate organics (X\_BInf) and methane generation (S\_CH4) to UCTSDM3P parameters for PS digestion

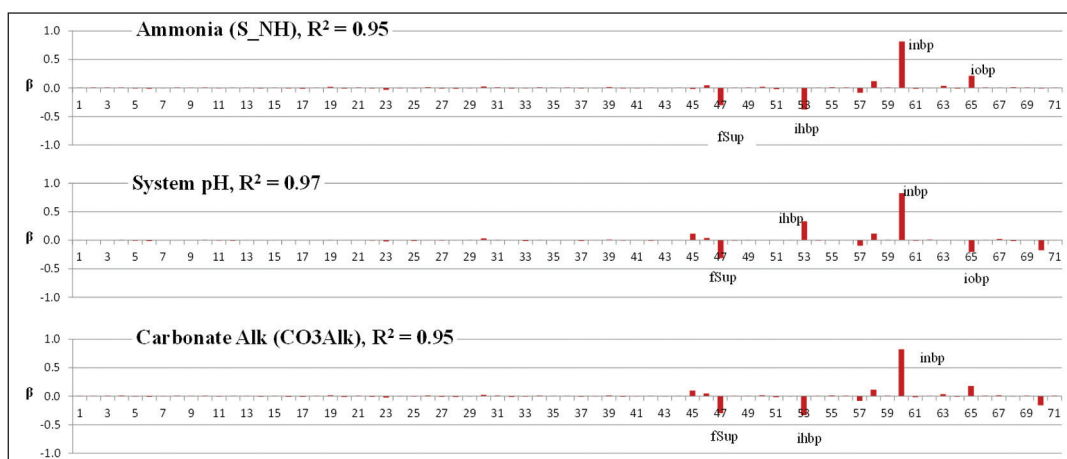
### Ammonia release and associated alkalinity change

From Fig. 12, it can be noticed that for modelling ammonia ( $\text{NH}_4$ ) releases in WAS AD, the most significant parameter is  $i_{\text{no}}$ , and equivalently  $i_{\text{nbp}}$ , for hydrolysis of PS. These parameters are depicted to have a positive influence on ammonia generation (Figs 12 and 13, its higher values would result in increased ammonia concentration). The results are intuitively adequate, because the A value from  $\text{C}_x\text{H}_y\text{O}_z\text{N}_a\text{P}_b$  of BPO (parameterised in the UCTSDM3P model as  $i_{\text{no}}$  for biomass BPO and  $i_{\text{nbp}}$  for PS) dictates the ammonia to be released into the AD from the N bound in hydrolysed BPO. The PS additionally has  $f_{\text{Sup}}$ ,  $i_{\text{hbP}}$  and  $i_{\text{obP}}$  as influential parameters.



**Figure 12.** SRC results for sensitivity analysis of ammonia (S\_NH) and pH and  $\text{H}_2\text{CO}_3$  alkalinity to UCTSDM3P parameters for EBPR WAS digestion

The results from the Morris screening method (Fig. 14) also agree with the SRC data (which can be accepted due to the high  $R^2$  value of 0.97) on the importance of  $i_{\text{no}}$  in  $\text{NH}_4$  release prediction. According to the SRC method of analysis, the same parameter that had a strong influence on  $\text{NH}_4$  release (i.e.,  $i_{\text{no}}$ ) has a significant impact on the sensitivity of the system pH and carbonate alkalinity. For mixed weak acid/base systems controlled by the inorganic carbon system, bicarbonate ( $\text{HCO}_3^-$ ) production is the main generator of  $\text{H}_2\text{CO}_3^*$  alkalinity (i.e.,  $\text{H}_2\text{CO}_3^*$  alkalinity  $\approx [\text{HCO}_3^-]$ ; Ikumi et al., 2015) and the establishment of system pH. According to the weak acid/base equilibrium formulations (Loewenthal et al., 1994), the  $[\text{CO}_3^{2-}]$  is a relatively very small species of the carbonate system in the steady-state methanogenic AD pH ranges (around 6.5 to 8). Hence, the AD is modelled such that the stoichiometric products that assist in uptake of  $\text{H}^+$  from dissolved  $\text{CO}_2$  ( $\text{H}_2\text{CO}_3^*$ ) are the main factors that promote increase in  $\text{H}_2\text{CO}_3^*$  Alk, hence also increasing the pH ( $-\log [\text{H}^+]$ ). The organically bound N is modelled to be released as  $\text{NH}_3$  (non-ionic form of ammonia, that is a non-reference species for the ammonia weak acid/base system), which picks up this  $\text{H}^+$  from  $\text{H}_2\text{CO}_3^*$  of the inorganic carbon (IC) system forming  $\text{HCO}_3^-$ . This results in the ammonia releases from organic N causing an increase in alkalinity generation and hence increased system pH. However, for the pH and  $\text{H}_2\text{CO}_3^*$  Alk variables, the Morris screening method does not reflect the same results as SRC but instead indicates the  $Y_{\text{ppac}}$  (the yield value for acetate uptake during anaerobic PP release) as the most significant parameter. However, these results are also possible because in AD-fed P-rich sludge, the PP release process has a significant impact on the system pH (see section below). For P-rich systems with PP, the aqueous  $\text{H}_2\text{CO}_3^*$  alkalinity increase also depends on PP and cell-bound P release because PP is released as  $\text{H}_2\text{PO}_4^-$  and biomass P is released as  $\text{H}_3\text{PO}_4$ , which interact with the other weak acid/base systems and influence pH.



**Figure 13.** SRC results for sensitivity analysis of ammonia (S\_NH) and pH and  $\text{H}_2\text{CO}_3$  alkalinity to UCTSDM3P parameters for PS digestion

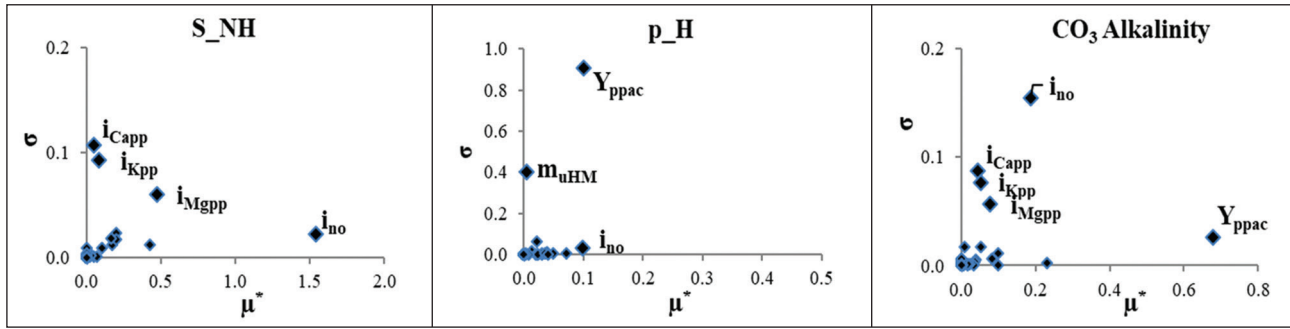


Figure 14. Morris screening results for sensitivity analysis of ammonia (S<sub>NH</sub>) and pH and H<sub>2</sub>CO<sub>3</sub> alkalinity to UCTSDM3P parameters for EBPR WAS digestion

### Orthophosphate release and struvite precipitation potential

The four main forms of P in AD include organically bound P, PP, OP and precipitate P. The release of OP from breakdown of NDBEPR WAS in AD has been noted to impact the system alkalinity and mineral precipitation potential (Ikumi et al., 2015). Figure 15 shows the most important parameter for orthophosphate concentration in the AD effluent is  $i_{no}$  followed by  $i_{po}$ . The influence of  $i_{no}$  on AD effluent OP is possible because: (i) increase in ammonia release with BPO (WAS biomass) breakdown causes increase in alkalinity and pH (as discussed the section above), and (ii) ammonia is a component part of struvite (MgNH<sub>4</sub>PO<sub>4</sub>), hence influences struvite precipitation potential (this precipitation requires OP uptake).

It is expected that most of the OP generated in the AD aqueous phase is due to the occurrence of PP breakdown. This PP breakdown process is modelled in a similar way in AD to that in the anaerobic reactor of the parent AS system – i.e., most of the PP is released immediately to generate energy for synthesis of PHA from acetate (Harding et al., 2010; Ikumi, 2011). This is because the environmental requirements for this process (i.e., sufficient quantities of acetate, from fermentation of biodegradable organics and the lack of an external terminal electron acceptor) are also present in AD reactors. However, after all PP is rapidly broken down in the AD (PP – i.e., Mg<sub>3</sub>K<sub>4</sub>Ca<sub>6</sub>PO<sub>3</sub>, breakdown results in release of its constituent Mg, K, Ca and OP), there is ample supply of OP and some Mg in the aqueous phase of the AD reactor. This, together with the release of NH<sub>4</sub><sup>+</sup>, which occurs with BPO hydrolysis, increases the precipitation potential of struvite (MgNH<sub>4</sub>PO<sub>4</sub>) – when the concentration of the ions contributing to formation of the struvite mineral (i.e., Mg, NH<sub>4</sub><sup>+</sup> and PO<sub>4</sub><sup>3-</sup>) are significantly high. Because struvite precipitation is encouraged by higher pH, the increased alkalinity associated with higher N releases from BPO (mainly due to the high  $i_{no}$  values) further encourages struvite precipitation. The struvite precipitation in turn uses OP, resulting in reduction in OP present in the aqueous phase of AD mixed liquor, hence the negative impact of  $i_{no}$  on OP (i.e., OP decreases with increase in  $i_{no}$ ). Conversely, the  $i_{po}$  represents the amount of P bound to the biodegradable organic material entering the AD, hence dictates the amount of OP to eventually be released with complete utilisation of these biodegradable organics. The P bound to biodegradable organics is much smaller than that in PP and gets released at a much slower rate (with the degradation of biodegradable organic material). Hence, relative to PP the organically bound P is not expected to have a major impact on OP released, although it does contribute to the total OP present in the AD system and add to the precipitation potential of struvite.

The Morris screening analysis results (Fig. 16) show that  $i_{mgpp}$  is the important parameter influencing OP concentration in AD. At longer sludge ages, where almost all the ammonia is released, the Mg (most made available from PP release and hydrolysis) is usually the component (out of Mg, OP and NH<sub>4</sub>, which form struvite) with the lowest concentration. Hence, it usually plays a

role as the limiting factor for struvite precipitation (i.e., struvite precipitation comes to a stop after Mg gets depleted).

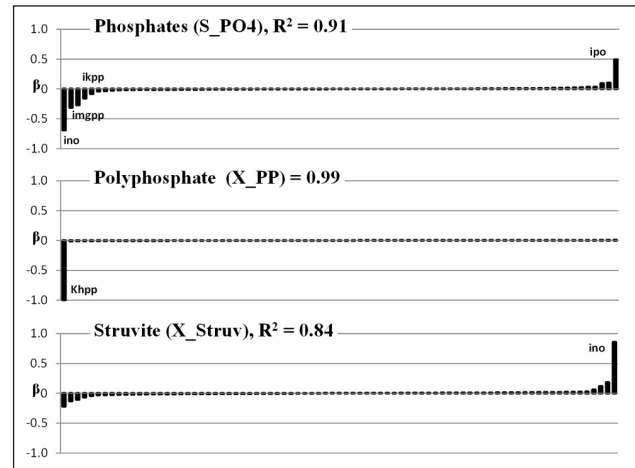


Figure 15. SRC results for sensitivity analysis of phosphates (S<sub>PO4</sub>), Poly P (X<sub>PAO\_PP</sub>) and struvite precipitation (X<sub>Struv</sub>) to UCTSDM3P parameters for EBPR WAS digestion

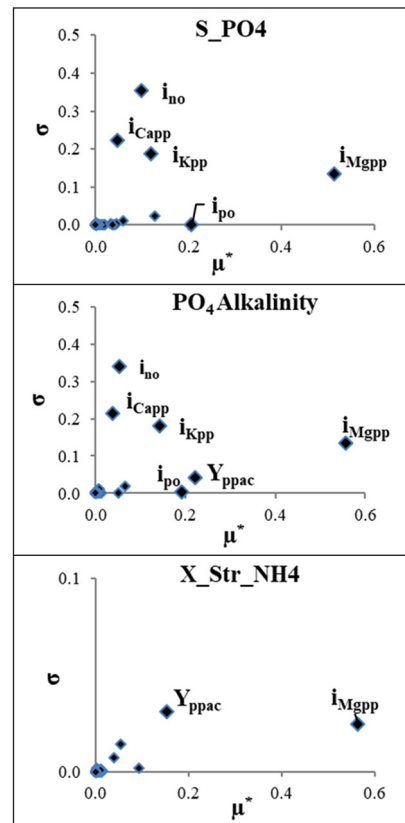


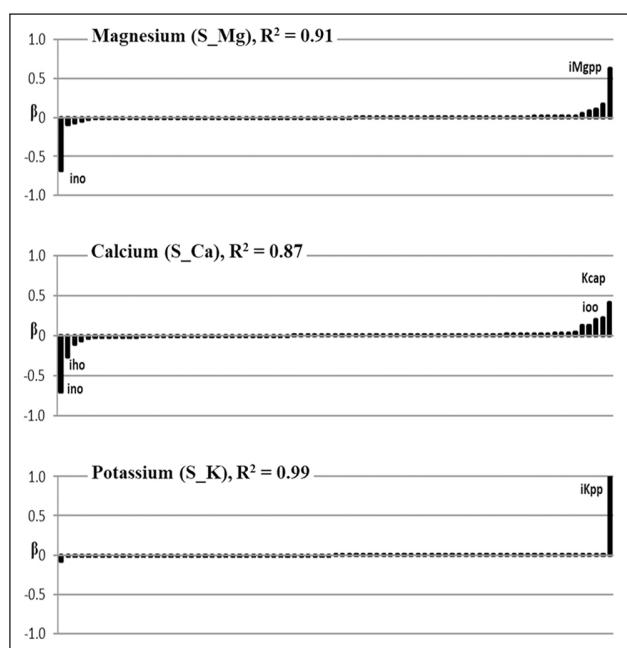
Figure 16. Morris screening results for sensitivity analysis of phosphates (S<sub>PO4</sub>), Poly P (X<sub>PAO\_PP</sub>) and struvite precipitation (X<sub>Struv</sub>) to UCTSDM3P parameters for EBPR WAS digestion

For AD systems that treat WAS from BEPR plants, containing PAOs and PP, significant alkalinity gets generated from OP (through  $\text{MePO}_3 + \text{H}_2\text{O} \rightarrow \text{Me}^+ + \text{H}_2\text{PO}_4^-$ ). The  $\text{H}_2\text{PO}_4^-$  is a non-reference species for the OP weak acid system that results from the breakdown of PP in the AD. It is noted further that since the phosphate weak acid/base sub-system (for  $\text{H}_2\text{PO}_4^-/\text{HPO}_4^{2-}$  speciation) has a  $\text{pK}_{\text{p}2}$  value at 7.13, some of the  $\text{H}_2\text{PO}_4^-$  consumes  $\text{H}_2\text{CO}_3$ . Alk.

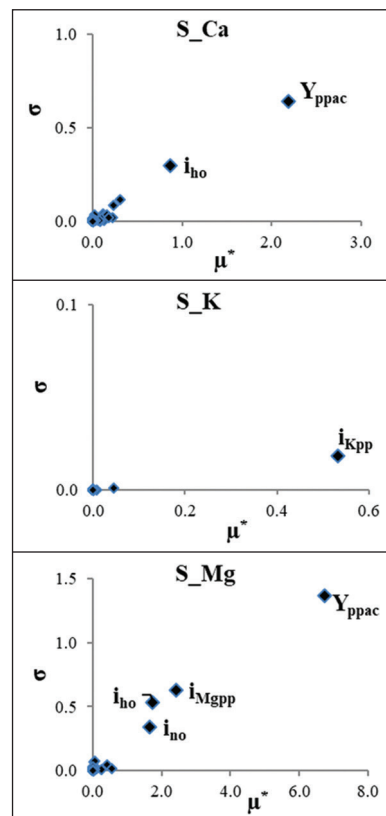
This substantiates the observations in Fig. 14, where the pH is also sensitive to changes in  $Y_{\text{ppac}}$ . It was noted that the  $i_{\text{mgpp}}$  parameter controls the Mg content of PP and hence the amount of Mg eventually available (after PP hydrolysis) to promote P precipitation. Therefore, increasing this Mg content in PP would increase the P precipitation as struvite causing a decreased OP concentration in the aqueous phase. Moreover, the utilisation of OP with P precipitation brings about further adjustments in establishment of the system's alkalinity and pH.

### Metals (Mg, K and Ca)

The three main metallic ions released with PP breakdown in AD are Mg, K and Ca. The Mg and K are most influenced by changes in their respective molar contents in PP (i.e.,  $i_{\text{mgpp}}$  for Mg and  $i_{\text{kpp}}$  for K) (see Fig. 17). The molar fraction of Ca in PP is usually significantly smaller than that for Mg and K – hence  $i_{\text{capp}}$  doesn't seem to have a significant impact on Ca concentration in the aqueous phase. Instead, the parameters likely to influence system pH, hence Ca precipitation potential (to calcium phosphate or calcium carbonate), are indicated to have an impact. These parameters include  $i_{\text{no}}$ ,  $i_{\text{ho}}$ ,  $i_{\text{oo}}$  and  $K_{\text{cap}}$  (the rate constant for ACP precipitation). The Morris screening results also show that  $i_{\text{kpp}}$  and  $i_{\text{mgpp}}$  are significant parameters for K and Mg, respectively (see Fig. 18). However,  $Y_{\text{ppac}}$  is included as the most significant of parameters for Ca and Mg. It is expected then that  $Y_{\text{ppac}}$  would also be important for K, but this is not reflected in the results. The probable reason for this is due to K being the only metal that was released and usually least likely to participate in mineral precipitation (K – struvite,  $\text{MgKPO}_4$ , has a high solubility product relative to the ionic product of Mg, K and  $\text{PO}_4^{3-}$  in the aqueous phase). This may amplify the influence of  $i_{\text{kpp}}$  relative to other parameters.



**Figure 17.** SRC results for sensitivity analysis of magnesium ( $S_{\text{Mg}}$ ), calcium ( $S_{\text{Ca}}$ ) and potassium ( $S_{\text{K}}$ ) to UCTSDM3P parameters for EBPR WAS digestion



**Figure 18.** Morris screening results for sensitivity analysis of magnesium ( $S_{\text{Mg}}$ ), calcium ( $S_{\text{Ca}}$ ) and potassium ( $S_{\text{K}}$ ) to UCTSDM3P parameters for EBPR WAS digestion

### CONCLUSION

A sensitivity analysis was performed on ASM2-3P and UCTSDM3P (which together form PWM\_SA) models using the standardized regression coefficient (SRC) and the Morris screening methods. The sensitivity analysis was useful towards detection of the significant parameters (prioritisation, using the SRC method) and non-influential parameters (with low  $\mu$  and low  $\sigma$ , hence can be 'fixed', using the Morris screening method). For these sampled parameter sets, simulations have been conducted and predicted model outputs were compared with observed experimental outputs (Ikumi et al., 2015).

From this investigation it can be noted that various parameters, which are not normally measured at WRRFs, may require attention for future application of mathematical models in decision-making processes for WRRFs. These parameters include: for the AS system,  $f_{\text{sup}}$ ,  $f_{\text{sbsa}}$ ,  $m_{\text{uano}}$  and biodegradable organics elemental composition; and for the AD system fed WAS, the parameters driving kinetics of hydrolysis (i.e.  $K_{\text{sbohyd}}$  and  $kM_{\text{bohyd}}$ ) and substrate elemental composition ( $i_{\text{no}}$ ,  $i_{\text{oo}}$ ,  $i_{\text{ho}}$ ,  $i_{\text{po}}$ ,  $i_{\text{mgpp}}$ ,  $i_{\text{kpp}}$  and  $i_{\text{capp}}$ ). The development of sophisticated augmented batch tests that work together with mathematical model parameter estimation techniques (i.e., as proposed by Botha and Ekama, 2015) could be used towards this process.

However, although some of the significant parameters could be applied generically for different systems (e.g., the yield values and endogenous death rates), other parameters may require measurements specific to the system being designed or operated in order to obtain accurate predictions of system response.

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