

## Research



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## Assessment of antiphospholipid antibodies profiles based on severity of COVID-19 pneumonia

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## Abstract

**Introduction:** thrombotic events are the most severe complications of the coronavirus disease 2019 (COVID-19). It is known that anti-phospholipid antibodies (APL) could be involved in thrombosis mechanism. Thus, APL profiles were studied particularly in patients with severe and critical COVID-19, and their clinical impact.

**Methods:** a retrospective study of 54 COVID-19 hospitalized patients (34 in intensive care unit (ICU) and 20 in non-ICU) was conducted. These COVID-19 patients were tested for the presence of LAC (lupus anticoagulant) using the ACLTOP750®, anti-cardiolipine (ACL) and anti-β2glycoprotéine I (anti-β2GPI) IgG/IgM/IgA by enzyme-linked immunosorbent assay (ELISA). IgA isotype was tested in only 25 patients. **Results:** anti-phospholipid antibodies were present in 74.1% of tested patients. LAC positivity was the highest (60.8%) among all patients, followed by IgM aCL (18.5%) and IgM anti-β2GPI (14.8%). Besides, LAC and anti-β2GPI IgA were the most predominant APL regarding the 25 patients tested for IgA isotype (52% and 24% respectively). Nine patients had thrombotic events, among them 6 were positive in APL and 5 were positive in LAC. However, there was any significant association between APL positivity or titers and thrombosis. There was also no significant difference between the two COVID-19 groups regarding APL profiles. **Conclusion:** given the relatively high frequency of APL and especially LAC, and given the multitude of thrombotic risk factors in these severely and critically ill COVID-19 patients, a prophylactic anticoagulation remains essential.

## Introduction

Thromboembolic events are out of the most severe complications in the course of the infection caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2). These thrombotic events lead to the high mortality rates, as these complications may be unrecognized or tardily diagnosed [1]. The main mechanism for

developing these thrombotic complications remains unclear and is still debated by authors [2,3]. On the other hand, antiphospholipid syndrome (APS) is an autoimmune disease with a prothrombotic state associated with multiple arterial and venous thromboembolisms. Antiphospholipid syndrome is characterized by persistent antiphospholipid antibodies (APL). Laboratory criteria of APS are based on screening for anticardiolipin (ACL), anti-β2 glycoprotein 1 (anti-β2GPI) and lupus anticoagulant (LAC) antibodies [4]. Interestingly, high frequency of APL in patients with Coronavirus Disease 2019 (COVID-19) has been noticed in many studies [5-8]. Despite this association, clinical impact of these APL on thromboembolic events is not yet established [8]. Therefore, we aimed in our study, to test the presence of APL antibodies in intensive care-unit (ICU) and non-ICU hospitalized COVID-19 patients. We also aimed to evaluate the possible association of APL antibodies with thrombotic incidents and severity of the disease in these patients.

## Methods

**Study design and sampling:** in our cross-sectional study, a total number of 54 patients diagnosed with SARS-CoV-2 infections were included. Among them, 34 patients were critically ill and hospitalized in ICU, and 20 patients were in severe condition and hospitalized in non-ICU. Sera were collected from Sahloul university hospital in the center of Tunisia between January 2021 and April 2021. Included patients were all consecutive patients older than 18 years with confirmed SARS-CoV-2 infection and who required hospitalization in ICU or non ICU in the period of the study. The COVID-19 infection was confirmed by the detection of SARS-CoV-2 genome in nasopharyngeal swab samples. Our non-inclusion criteria were pregnancy, active cancer and incomplete data in medical files.

**Ethical considerations:** the study was approved by the local ethics committee of Sahloul University

Teaching Hospital. All data and patients' identities were processed with strict confidentiality.

**Data collection:** demographic data (age, gender, and underlying diseases), clinical, radiological and biological findings (D-dimer, fibrinogen, C-reactive protein (CRP), white cell count and platelet count) were collected either by consulting medical files or by referring to electronic hospital medical records.

**Anti-phospholipid antibodies detection:** fifty-four patients were tested for the positivity of APL antibodies during the active COVID-19 infection. ACL IgG, ACL IgM, anti- $\beta$ 2GPI IgG and anti- $\beta$ 2GPI IgM were measured in all of the 54 patients. However, ACL IgA and anti- $\beta$ 2GPI IgA were measured in only 25 patients (5 ICU hospitalized patients and 20 non-ICU hospitalized patients). Lupus anticoagulant was measured in 51 patients. ACL and anti- $\beta$ 2GPI IgG/IgM/IgA and IgG/IgM/IgA were measured by an enzyme-linked immunosorbent assay (ELISA) using the commercial ELISA kit of ORGENTEC® (Orgentec Diagnostika®, Mainz, Germany). The tests were done according to the manufacturer's instructions. Anti- $\beta$ 2GPI IgG, IgM and IgA were considered positive at a cut-off value of  $\geq 8$  U/ml. Anticardiolipin IgG, IgM and IgA were considered positive at cut-off values of  $\geq 10$  GPL-U/ml,  $\geq 7$  MPL-U/ml and  $\geq 10$  APL-U/ml respectively. The presence of LAC antibodies were studied using the dilute Russell Viper Venom Time (dRVVT) and silica clotting time (SCT) tests (HemosIL dRVVT and HemosIL SCT, Instrumentation Laboratory, Milan, Italy). If SCT screen and/or dRVVT screen tests were prolonged comparing to the mean SCT/dRVVT screen normal range, patients were tested for confirmations with SCT/dRVVT confirm reagents containing an additional amount of phospholipid. Lupus anticoagulant were considered positive if normalized SCT ratio was higher than 1.16 and/or normalized dRVVT ratio was higher than 1.2. The normalized SCT and dRVVT ratios were calculated by dividing the corresponding test screen ratio on the confirm ratio. The screen/confirm ratios were calculated by dividing the patient clot time on the mean

normal range clot time. All coagulation tests were performed using the ACLTOP® family system (instrumentation laboratory, Milan, Italy).

**Statistical analysis:** all statistical analyses were conducted using the software Statistical Package for the Social Sciences (SPSS) version 23.0. Results were described using mean and standard deviation (SD) for continuous variables, count and percentage for categorical variables. To assess the association between APL and thrombosis and between APL and severity of COVID-19, Student's test was used for continuous variables, while Chi-square test or Fisher's exact test (when the theoretical number of patients was  $<5$ ) were used for categorical variables. A p-value of less than 0.05 was considered as statistically significant.

## Results

**Patients' characteristics:** the 54 patients enrolled in our study had a mean age of  $62.8 \pm 13.4$  (20-89) years and were predominantly male (61%) with a sex-ratio of 1.57. The most common underlying diseases were; hypertension (37%) and diabetes (31.5%). Only 3 patients had a past medical history of thrombosis. On admission, all patients had oxygen saturation levels below 94% and were mechanically ventilated. Among them, seven patients (12.7%) required intubation during hospitalization. Mean levels of APACHE II (Acute Physiologic Assessment and Chronic Health Evaluation II) and SOFA (Sequential Organ Failure Assessment) score were  $12.9 \pm 8.4$  (5-45) and  $5.6 \pm 3.2$  [2-14] respectively in ICU patients. Fifty-one of the 54 COVID-19 patients showed typical chest imaging features compatible with COVID-19 pneumonia. During the hospital stay, nine patients (16.7%) developed a thrombotic incident. Among them, two patients had a past medical history of venous thrombosis. The most common encountered thrombotic event was ischemic stroke (4 patients), followed by pulmonary embolism (three patients). Furthermore, two patients had arterial thrombosis. The first one had a mesenteric ischemia, while the other one developed a thrombosis of the right internal iliac

artery. The demographic and clinical characteristics of studied patients are shown in Table 1.

**Prevalence of APL antibodies in COVID-19 patients:** overall, APL antibodies were present in 74.1% (40/54) of tested plasma samples. Lupus anticoagulant positivity was the highest (60.8%) among all patients, followed by IgM ACL and IgM anti- $\beta$ 2GPI encountered in 18.5% and 14.8% patients respectively. Besides, among the 25 patients who were tested for IgA, six were positive in anti- $\beta$ 2GPI IgA. None of the patients was positive in ACL IgA. Among the 40 APL positive patients, 25 patients were critically ill patients and hospitalized in ICU, and 15 were non-ICU hospitalized patients. Antiphospholipid frequencies in ICU patients and non-ICU patients were summarized in Table 2. However, there was no significant difference between the two groups ICU and non-ICU patients, on the basis of APL positivity (Table 2). Regarding multiple APLs, nine patients had at least three positive APL antibodies simultaneously. The association of LAC + IgM anti- $\beta$ 2GPI + IgM ACL was the most observed profile (three patients) (Table 2). We also analyzed, the association between APL positivity and thrombosis. Lupus anticoagulant was frequent in patients who developed thrombotic complications (5/9; 55.5%). Six patients among the nine who developed a thrombotic event had positive APL profiles. Three patients had only one positive APL antibody. The first one had only positive IgA anti- $\beta$ 2GPI. The two other patients had only positive LAC. Moreover, two patients had an association of two positive APL (LAC+ IgM ACL and IgG ACL + IgG anti- $\beta$ 2GPI). The last patient had a triple positivity (LAC + IgM ACL + IgM anti- $\beta$ 2GPI). Nonetheless, no significant association was observed between APL positivity and thrombosis ( $p=0.681$ ). In fact, LAC positivity, ACL positivity and anti- $\beta$ 2GPI positivity were not associated with thrombosis ( $p=0.696$ ,  $p=0.681$  and  $p=1$  respectively). Antiphospholipid titers were low to moderate. Anticardiolipin IgG titers were  $14.1\pm 4.6$  (min=10, max=20) GPL U/ml. ACL IgM titers were  $11\pm 4.6$  MPL U/ml (min=7.1, max=20).

Anti- $\beta$ 2GPI IgG titers were  $19.2\pm 5.8$  (min=9, max=26) U/ml. Anti- $\beta$ 2GPI IgM titers were  $14.8\pm 8.4$  (min=8, max=34) U/ml. Anti- $\beta$ 2GPI IgA titers were  $17.6\pm 11$  (min=8, max=36) U/ml. However, there was no significant association between APL titers and thrombotic events.

## Discussion

COVID-19 was particularly accompanied by coagulopathy, hemostatic changes and consequently thrombotic events [9]. It is known that APL antibodies could be involved in the pathways responsible for thrombosis [4,10]. Thus, in this study, we aimed to test the presence of APL antibodies in ICU and non-ICU COVID-19 infected patients and its impact on thrombosis occurrence. We have found APL in 74.1% of hospitalized COVID-19 patients. Among the critically ill COVID-19 patients, 73.5% were positive in APL, 62.5%, 32.4% and 29.4% were especially positive in LAC, ACL and anti- $\beta$ 2-GPI respectively. For the group of COVID-19 non-ICU hospitalized patients, 75% were positive in APL and 57.9%, 15% and 20% were especially positive in LAC, ACL and anti- $\beta$ 2-GPI. Regarding ACL and anti- $\beta$ 2-GPI isotypes, IgM was the most frequent isotype. Similarly, in a review of 23 studies, in which 250 COVID-19 patients were tested for APL, 64% were positive in LAC. Like our study, IgM was also the most frequent isotype of ACL and anti- $\beta$ 2-GPI antibodies. In the same review, ACL and anti- $\beta$ 2-GPI were positive in 9% and 13% respectively which is less frequent than ACL and anti- $\beta$ 2-GPI positivity in our study [3]. In the same way, Harzallah *et al.* [5], Devreese *et al.* [6] and Najim *et al.* [7] have found also high frequency of LAC positivity. However, they found low frequency of ACL and anti  $\beta$ 2-GPI positivity in their critically ill COVID-19 patients. In another study of 104 non-ICU COVID-19 patients, it has been found that 47.1% were positive in at least one APL. Among these non-ICU patients, LAC was positive in 39.6% of patients (Table 3) [11]. Thus, high frequency of LAC positivity is the most common finding in hospitalized COVID-19 patients especially critically ill patients. However, some



studies focused on the fact that high levels of CRP in critically ill patients can interfere with the methodology of phospholipid-dependent coagulation tests and induce false positive LAC [6]. Overall, the proportion of APL antibodies in COVID-19 hospitalized patients was much higher than the percentage of APL in the general population. In fact, in healthy young population, it has been found that LAC and ACL antibodies were observed in 1 to 5%. In older population, APL (ACL and anti- $\beta$ 2-GPI antibodies) were found in up to 12% [12]. Indeed, viral infections can trigger the production of APL antibodies and sometimes the occurrence of thromboembolic events. The increase of APL after viral infection can be due to molecular mimicry, and cross-reacting antibodies between viral antigens and host tissue  $\beta$ 2-GPI [13]. However, while APL in COVID-19 patients were much higher than in general population, these antibodies were far below than in APS patients according to Gatto *et al.* [14]. In this study, prevalence and titers of APL in COVID-19 patients were significantly less regarding APS patients, and were not associated with thrombosis [14]. Similarly, in our COVID-19 patients, there was no significant association between APL positivity and thrombosis. Likewise, many studies didn't find any association between APL and thrombotic complications in ICU and non-ICU hospitalized COVID-19 patients [6-8,15-17]. Nevertheless, other studies have found a significant association between APL positivity and thrombotic complications [8,18,19]. Regardless of the association between APL and clinical outcome of severe and critical COVID-19 patients, the conclusions of many studies show an only transient increase of APL due to COVID-19 [8].

Then, in our study, titers and positivity of APL were neither associated with thrombosis, nor with severity of COVID-19. In fact, titers were low to moderate in both ICU and non-ICU hospitalized COVID-19 patients and frequency of APL were nearly similar in the two groups (73.5% for ICU and 75% for non-ICU patients). Like our study, Xiao *et al.* tested 66 critically ill and 13 non-critically ill COVID-19 patients. However, in this Chinese study

it has been shown a huge difference between the two COVID-19 groups. There was a total absence of APLs in non-critically ill COVID-19 patients and 47% of critically ill patients positive in APL. APL titers were higher than our study [20]. This discrepancy of APL profiles and their clinical associations could be explained by the difference between the epidemiological characteristics of COVID-19 patients included and the different methods used for APL measurement. Regarding the 25 patients who were tested for APL IgA, LAC and IgA anti- $\beta$ 2-GPI were the most common types found in this COVID-19 population (52% and 24% respectively). Nearly the same result was noted in the study of Xiao *et al.* who tested COVID-19 patients for ACL and anti- $\beta$ 2-GPI. Similarly, IgA anti- $\beta$ 2-GPI was the predominant type of APL in this study (28.8%) [20]. In fact, IgA isotype is involved in mucosal immunity. The alteration of pulmonary and intestinal mucosae in COVID-19 can be probably accompanied by breakage of mucosal immunity tolerance which can explain the preferential increase of IgA APL in COVID-19 patients [20]. On the other hand, combined positivity of LAC, ACL, and anti- $\beta$ 2GPI (triple positive APL) and high titers of these antibodies have been shown to be high risk factors of thrombotic events [21-24]. However, APL profiles in many studies of COVID-19 patients had low risk profiles for thrombosis [6]. In the same way, in our population, we have found only 9/54 (16.6%) patients with triple positive APL and low to moderate titers of APL. Thus, APL antibodies found in our COVID-19 population are probably not pathogenic and rather transient. In fact, viral infections can induce transiently non-pathogenic APL especially of IgM isotype [13,25,26].

Our study has some limitations. In addition to the small number of patients, positive APL samples need to be controlled 12 weeks later in order to test the presence of the APL syndrome in infected patients. These measurements could not be performed for two main reasons; first, 30 patients died during the hospitalization period and second, patients who survived were hospitalized for less than 12 weeks. Moreover, thrombotic events were

only followed during hospitalization and patients were not followed up after being discharged.

## Conclusion

APL (especially LAC) proportion was highly prevalent in our COVID-19 patients with severe and critical conditions. However, there was no association between APL and thrombosis occurrence in these COVID-19 patients in our study. On this basis, further studies are needed to verify if these antibodies are persistent with clinical impact or rather transient and not pathogenic. Nevertheless, given the relatively high frequency of APL and especially LAC, and given the multitude of thrombotic risk factors in these severely and critically ill COVID-19 patients, a prophylactic anticoagulation remains essential.

### *What is known about this topic*

- *Thrombotic events are severe complications in COVID-19;*
- *Anti-phospholipid antibodies can be involved in thrombosis mechanisms.*

### *What this study adds*

- *Anti-phospholipid antibodies especially Lupus anticoagulant are frequent in severely and critically ill COVID-19 patients;*
- *There is no significant association between APL positivity and thrombosis in COVID-19 patients;*
- *APL positivity and profiles does not depend on COVID-19 severity.*

## Competing interests

The authors declare no competing interests.

## Authors' contributions

Amène Ben Bnina has analyzed the data and drafted the manuscript. Refka Ben Dhia and Sahar Gnaba have done the APL testing. Alaa Annabi has collected the samples. Syrine Chouchane, Walid Naija and Houyem Said have ensured the

collection of clinical data of patients in ICU and non-ICU. Abderraouf Oueslati and Amina Bouatay have revised and corrected the content of the manuscript. All authors were involved in the analysis and approval of the final manuscript.

## Tables

**Table 1:** characteristics of ICU and non-ICU COVID-19 patients

**Table 2:** frequency of APL in ICU COVID-19 and non - ICU patients

**Table 3:** frequency of APL in hospitalized COVID-19 patients in Literature

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<b>Table 1: characteristics of ICU and non-ICU COVID-19 patients</b>				
	<b>Total</b>	<b>ICU</b>	<b>Non-ICU</b>	<b>P-value</b>
<b>Number of patients</b>	N=54	N=34	N=20	-
<b>Age (years)</b>	62.8±13.4 (20-89)	61.8±13.9 (20-84)	64.3±12.7 (38-89)	0.513
<b>Male/female ratio</b>	33/12 (1.57)	22/12(1.83)	11/9 (1.22)	0.480
<b>Medical history</b>				
Chronic obstructive pulmonary disease	2 (3.7%)	1(2.9%)	1(5%)	1.000
Asthma	0	0	0	-
Hypertension	20 (37%)	10 (29.4%)	10 (50%)	0.130
Diabetes	17 (31.5%)	9 (26.5%)	8(40%)	0.301
Cardiovascular disease	8 (14.8%)	5 (14.7%)	3 (15%)	1.000
History of thromboembolic event	3 (5.6%)	3 (8.8%)	0	0.287
<b>Clinical symptoms on admission</b>				
Fever	28 (51.9)	17 (50)	11 (55)	0.723
Cough	30 (55.6)	18 (52.9)	12 (60)	0.614
Dyspnea	39 (72.2)	20 (58.8)	19 (95)	0.004
Headache	8 (14.8)	5 (14.7)	3 (15)	1.000
Acute respiratory distress syndrome	9 (16.6)	9 (26.5)	0	0.019
Thrombosis	9 (16.6)	8 (23.5)	1 (5)	0.131
<b>Laboratory findings at the time of the APL measurement</b>				
White cell count (x103/mm3)	14.85 ± 8.94	18.3 ± 9.5	9 ± 3.24	≤0.0001
Platelet count (x103/mm3)	282 ± 136	283 ± 137	281 ± 136	0.975
D-dimer (µg/ml)	7.12 ± 14.86	8.48 ± 13.33	4.9 ± 17.22	0.416
Fibrinogen (g/L)	4.62 ± 1.31	4.82 ± 1.32	3.61 ± 0.55	0.002
C-reactive protein (mg/L)	114 ± 118	158 ± 125	36.5 ± 40.3	≤0.0001
<b>Clinical outcome</b>				
In-hospital death n (%)	30 (55.6)	28 (82.4)	2 (10)	≤0.0001
Abbreviations: ICU: intensive care unit				

**Table 2:** frequency of APL in ICU COVID-19 and non - ICU patients.

Single or multiple Positive APL antibodies	All patients (N=54)	ICU patients (N=34)	Non-ICU patients (N=20)	P-value
<b>Any APL n (%)</b>	40/54 (74.1)	25/34 (73.5)	15/20 (75)	1
<b>ACL</b>				
IgG n/N (%)	6/54(11.1)	5/34 (14.7)	1/20 (5)	0.395
IgM n/N (%)	10/54(18.5)	8/34 (23.5)	2/20 (10)	0.291
IgA n/N (%)	0/25	0/5	0/20	
<b>Anti-β2GPI</b>				
IgG n/N (%)	6/54(11.1)	5/34 (14.7)	1/20 (5)	0.395
IgM n/N (%)	8/54(14.8)	5/34 (14.7)	3/20 (15)	1
IgA n/N (%)	6/25(24)	0/5 ( )	6/14 (42.8)	0.289
<b>LAC n/N (%)</b>	31/51 (60.8)	20/32 (62.5)	11/19 (57.9)	0.774
<b>Multiple APLs (≥3) n/N (%)</b>	9/54 (16.6)	6/34 (17.6)	3/20 (15)	1
LAC + IgM anti-β2GPI + IgM ACL	3	2	1	-
LAC + IgG anti-β2GPI + IgM ACL	1	1	-	-
LAC + IgA anti-β2GPI + IgG ACL	1	-	1	-
LAC + IgG anti-β2GPI + ACL (IgM + IgG)	1	1	-	-
LAC + IgM anti-β2GPI + ACL (IgM + IgG)	1	-	-	-
LAC + anti-β2GPI (IgM + IgA) + IgM ACL	1	-	1	-
LAC + anti-β2GPI (IgM + IgG) + IgM ACL	1	1	-	-

Abbreviations: ICU: intensive care unit, ACL: anticardiolipin antibodies, anti-β2GPI: antibeta2-glycoprotein I antibodies, LAC: Lupus anticoagulant

**Table 3:** frequency of APL in hospitalized COVID-19 patients in literature

Authors	Tested patients	APL
Harzallah <i>et al.</i>	56 ICU patients	45% LAC, 10% IgG/IgM ACL or IgG/IgM anti-β2GPI.
Devreese <i>et al.</i>	31 ICU patients	67.7% LAC, 74% any APL
Najim <i>et al.</i>	60 ICU patients	37% any APL, 35% LAC, 1,6% anti- β2-GPI
Le Joncour <i>et al.</i>	104 non-ICU patients	47.1% any APL, 39.6% LAC, 33.7% ACL, 8.7% anti-β2-GPI.
Present study	34 ICU and 20 non-ICU	<b>-ICU:</b> 73.5% any APL, 62.5% LAC, 32.4% ACL, 29.4% anti-β2-GPI. <b>-non-ICU:</b> 75% any APL, 57.9% LAC, 15% ACL, 20% anti-β2-GPI

Abbreviations: ICU: intensive care unit, ACL: anticardiolipin antibodies, anti-β2GPI: antibeta2-glycoprotein I antibodies, LAC: Lupus anticoagulant, APL: antiphospholipid antibodies