

The Ångström Exponent and Turbidity of Soot Component in the Radiative Forcing of Urban Aerosols

*D.O. Akpootu and M. Momoh

Department of Physics, Usmanu Danfodiyo University, Sokoto, Nigeria

[*Corresponding author: Email: profdon03@yahoo.com, ☎: +2347038918403]

ABSTRACT: In this work, we extracted data from Optical Properties of Aerosols and Clouds (OPAC) using FORTRAN program to model the effect of soot on optical depth, scattering coefficient, absorption coefficient, single scattering albedo, extinction coefficient and asymmetry parameter at spectral range of 0.25 to 1.00 μm for eight different relative humidities (RHs) (0, 50, 70, 80, 90, 95, 98 and 99%). The concentration of soot was varied as 1.10×10^5 , 1.20×10^5 and $1.30 \times 10^5 \text{ cm}^{-3}$ while the insoluble and water soluble were kept constant at 1.50 and 15,000 cm^{-3} respectively. The optical parameters were used to determine the Radiative forcing (RF). Origin 50 software was used to plot the graphs. SPSS 16.0 Software was used to determine the Ångström exponent, α and Curvature, α_2 . The α reflects the dominance of fine-mode particles while α_2 at 0% RH reflects the dominance of coarse-mode particles and at 50-99% RHs reflects the dominance of fine-mode particles, the turbidity, β indicates a relatively hazy atmosphere, the Aerosol Optical Depth (AOD) show a relatively higher value indicating a polluted urban air, the asymmetry parameter, g falls between 0.64 and 0.77. However, from our graphs of Radiative forcing against wavelength, we report that addition of soot concentration reflects warming effect; therefore soot has a relatively high absorption coefficient.

Keywords: Soot, Ångström exponent, Turbidity, Radiative forcing, Aerosols

INTRODUCTION

During the recent decade a great deal of effort has been devoted to establishing the effects of aerosols on global, as well as regional climate. Aerosols particles affect the global radiation budget directly through back scattering or absorption of solar radiation (Kaufman *et al.*, 1998, 2002). They can also affect the radiation budget and the regional water cycle through inducing changes in the microphysical properties of clouds by acting as cloud droplet condensation nuclei (Kaufman *et al.*, 2002; Lohmann and Feichter, 2005). This is often referred to as the indirect radiative effect of aerosols on climate and is today the largest uncertainty in predicting the future global climate (*Intergovernmental panel on climate change*, 2001).

Soot in combustion products of hydrocarbon fuels has a complex chemical composition. In soot, 97-99% of the mass is carbon, but soot also contains hydrocarbons and other substances (Ramanathan and Crutzen, 2003). Out of many species of aerosols which induce direct radiative forcing, black Carbon (BC) play a major role in the forcing by partly shielding the surface from the intense tropical solar radiation (Ramanathan and Crutzen, 2003). BC aerosols have been found to be contributing significantly to the atmospheric warming both globally and regionally (Ramanathan and Carmichael, 2008).

Light scattering by aerosols particles result in a negative radiative forcing (cooling effect), as part of the solar flux is scatter back to space. If the particles contain absorbing material, total forcing can become positive (heating effect or warming effect), as the energy absorbed by the particles leads to an increase of thermal radiation (Haywood and Shine, 1995). Aerosols affect the Earth's temperature and climate by altering the radiative properties of the atmosphere. A large positive component of this radiative forcing from aerosols is due to black carbon-soot- that is released from the burning of fossil fuel and biomass, and to a lesser extent, natural fires (Jacobson, 2001).

The role of soot particles in combustion remains as one of the main motivations of both experimental and theoretical studies of soot radiative properties. The formation of soot, the chemical composition, and the morphology of soot particles have been studied experimentally over the years and there is a body of research on this subject (Pickett and Siebers, 2004; Van der Wal and Tomasek, 2004; Lombaert *et al.*, 2006; Lockett and Woolley, 2007; Watanabe *et al.*, 2008). There are also some attempts of theoretical modeling of soot formation (Wen *et al.*, 2003; Balthasar and Frenklach, 2005; Lignellet *et al.*, 2007; Paterson and Kraft, 2007).

However, in this paper we model the effect of soot on optical depth, scattering coefficient, absorption

coefficient, single scattering albedo, extinction coefficient and asymmetry parameter at spectral range of 0.25 to 1.00 μm for eight different relative humidities (RHs) (0, 50, 70, 80, 90, 95, 98 and 99%). The spectral range was chosen because it covers the wavelength of the visible region.

MODELING DETAILS

The models extracted from OPAC are given in Table 1.

Table 1: Compositions of aerosols types

Components	Model 1a	Model 1b	Model 1c
Insoluble	1.50	1.50	1.50
water soluble	15,000.00	15,000.00	15,000.00
Soot	110,000.00	120,000.00	130,000.00
Total	125,001.50	135,000.00	145,001.50

Source: Hess *et al.* (1998).

THE RADIATIVE FORCING MODEL AND ÅNGSTRÖM EXPONENT

The data used for the urban aerosols in this work are derived from the Optical Properties of Aerosols and Clouds (OPAC) data set (Hess *et al.*, 1998). In this, a mixture of three components is used to describe Urban aerosols. a water soluble components (WASO), consists of scattering aerosols, that are hygroscopic in nature, such as sulphates and nitrates present in anthropogenic pollution, water insoluble (INSO) and soot (SOOT), not soluble in water and therefore the particles are assumed not to grow with increasing relative humidity.

To estimate the radiative forcing using a simple model. Chylek and Wong (1995) derived the formula that can be used to calculate the globally averaged direct aerosol Radiative forcing of absorbing aerosols, ΔF_R, as.

$$\Delta F_R = -\frac{S_0}{4} T_{atm}^2 (1 - N_{cloud}) 2\tau \{ (1 - a)^2 \beta \omega - 2a(1 - \omega) \} \dots (1)$$

where S₀ is the solar constant, T_{atm} is the transmittance of the atmosphere above the aerosol layer, N_{cloud} is the fraction of the sky covered by clouds, τ is the aerosol optical depth, ω is the average single scattering albedo of the aerosol layer, a is the albedo of the underlying surface and β is the fraction of radiation scattered by aerosol into the atmosphere. The above expression gives the radiative forcing due to the change of reflectance of the earth-aerosol system. The upscattering fraction is calculated using an approximate relation (Sagan and Pollack, 1967)

$$\beta = \frac{1}{2} (1 - g) \dots \dots \dots (2)$$

where g is the asymmetry parameter of the aerosol layer.

This model for the radiative forcing assumes that the aerosols are optically thin and that there is no radiative forcing where clouds are present. Although it is very simple, the model has been shown to provide reasonable estimates for the radiative forcing by both sulphate aerosols (Charlson *et al.*, 1992) and absorbing smoke and soot aerosols (Chylek and Wong, 1995).

The spectral behavior of the aerosols optical depth (τ) (or scattering (σ_{scat}), absorption (σ_{abs}) and extinction (σ_{ext}) coefficients) can be used to obtain some information regarding the size distribution by just looking at the Ångström coefficient exponent that expresses the spectral dependence of any of the optical parameters with the wavelength of light (λ) as inverse power law (Ångström, 1961; Ångström, 1929).

$$\tau(\lambda) = \beta \lambda^{-\alpha} \dots \dots \dots (3)$$

The wavelength dependence of τ(λ) can be characterized by the Ångström parameter, which is a coefficient of the following regression.

$$\ln \tau(\lambda) = -\alpha \ln(\lambda) + \ln \beta \dots \dots \dots (4)$$

where β and α are the turbidity coefficient and the shaping factor, respectively. (Liou, 2002; O'Neill and Roer, 1993).

The shaping factor, α is also related to the size of particles. So α and β can be used to describe the size distribution of aerosol particles and the general haziness of the atmosphere respectively. Larger particles generally correspond to smaller α, whereas smaller particles generally correspond to larger α.

The Ångström exponent itself varies with wavelength, and a more precise empirical relationship between aerosol extinction and wavelength is obtained with a 2nd-order polynomial (King and Byrne, 1976; Eck *et al.*, 1999; Eck. *et al.*, 2001a, b, 2003; Kaufman, 1993; O'Neill *et al.*, 2001, 2003) as.

$$\ln \tau(\lambda) = \alpha_2 (\ln \lambda)^2 + \alpha_1 \ln \lambda + \ln \beta \dots \dots \dots (5)$$

Here, the coefficient α₂ accounts for a "curvature" often observed in sunphotometry measurements. In case of negative curvature (α₂<0, convex type curves) the rate of change of α is more significant at the longer wavelengths, while in case of positive curvature (α₂>0, concave type curves) the rate of change of α is more significant at the shorter wavelengths (Kaufman, 1993; Eck *et al.*, 1999; Eck.

et al., 2001b; Reid et al., 1999). Eck et al. (1999) reported the existence of negative curvatures for fine-mode aerosols and positive curvatures for significant contribution by coarse-mode particles in the size distribution.

RADIATIVE FORCING MODEL ESTIMATES

The radiative forcing model given in equations (1) and (2) are used to estimate the globally averaged radiative forcing due to soot and water soluble of urban aerosols. The model parameters are assigned the following values. $S_0=1368 \text{ Wm}^{-2}$, $T_{atm}= 0.79$ (Penner et al., 1992), $N_{cloud} = 0.6$ and the surface albedo which varies from 0.06 for the ocean to 0.22 for the global land. However, in this work $a = 0.22$. The optical properties; extinction coefficient, scattering coefficient, absorption coefficient, asymmetry parameter and optical depth at RHs (0, 50, 70, 80, 90, 95, 98 and 99%) at spectral range of 0.25 to 1.00 μm are used in this model.

THE ÅNGSTRÖM TURBIDITY COEFFICIENT (β)

Wavelength, optical thickness, and atmospheric turbidity (haziness) are related through Ångstrom's turbidity formula.

$$\tau = \beta \cdot \lambda^{-\alpha} \tag{6}$$

where β is Ångstrom's turbidity coefficient, λ is wavelength in microns, and α is the Ångstrom exponent.

α and β are independent of wavelength, and can be used to describe the size distribution of aerosol particles and the general haziness of the atmosphere. For two different wavelengths,

$$\tau_1 = \beta \cdot \lambda_1^{-\alpha} \tag{7}$$

$$\tau_2 = \beta \cdot \lambda_2^{-\alpha} \tag{8}$$

from which

$$\frac{\tau_1}{\lambda_1^{-\alpha}} = \frac{\tau_2}{\lambda_2^{-\alpha}} \tag{9}$$

Solving for α .

$$\alpha = \frac{\ln\left(\frac{\tau_1}{\tau_2}\right)}{\ln\left(\frac{\lambda_2}{\lambda_1}\right)} \tag{10}$$

For each wavelength, β is determined as.

$$\beta = \tau_1 \cdot \lambda_1^\alpha = \tau_2 \cdot \lambda_2^\alpha \tag{11}$$

where λ must be expressed in microns (500 nm = 0.500 μ). β values of less than 0.1 are associated with a relatively clear atmosphere, and values greater than 0.2 are associated with a relatively hazy atmosphere.

RESULTS AND DISCUSSION

To analyse the effect of soot components in Radiative forcing (RF) of urban aerosols we see from Table1 Composition of aerosol types in it insoluble and water soluble are kept constant at 1.50 and 15,000 respectively, while the concentration of soot varies from 1.10×10^5 , 1.20×10^5 and $1.30 \times 10^5 \text{ cm}^{-3}$. This effect is observed in Figure 1, Figure 2, Figure 3, Figure 4, Figure 5, Figure 6, Figure 7, Figure 8 and Figure 9. By considering the graphs of Radiative forcing against wavelength shown in Figure 1, Figure 4 and Figure 7 we observed that at 0-99% RHs the Radiative forcing is positive which reflects warming effect. The relation of RF with wavelength is such that it decreases with wavelength from 0-90% Relative Humidity (RH) in the form of power law, and tend to increase in a concave quadratic form from 95-99% RH from the graph it was observed that the RF decreases with increase in RH. Thus, the effect of adding soot reflects warming on the Earth's atmosphere, therefore, it is closely related to the greenhouse gases.

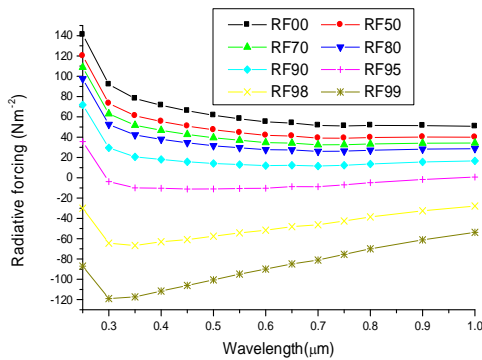


Figure 1. A graph of radiative forcing against wavelength

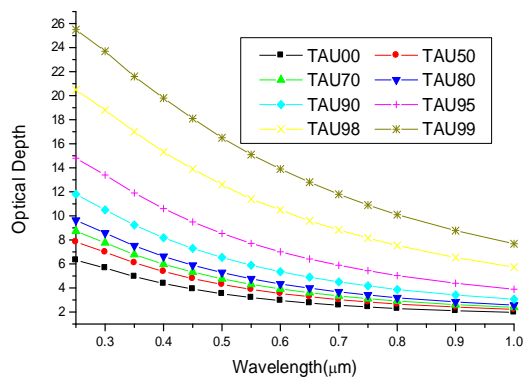


Figure 2: A graph of optical depth against wavelength

Table 2. The results of the Ångström coefficients for Model 1a using equations (4) and (5) at the respective relative humidities using regression analysis with SPSS16.0.

RH(%)	Linear			Quadratic			
	R ²	α	β	R ²	α_1	α_2	β
0	0.99716	0.88942	1.89869	0.99718	-0.87478	0.01074	1.90477
50	0.99767	0.95463	2.18600	0.99807	-1.01951	-0.04759	2.15528
70	0.99731	0.97719	2.37797	0.99839	-1.08704	-0.08058	2.32166
80	0.99666	0.99232	2.59259	0.99868	-1.14479	-0.11185	2.50777
90	0.99444	1.00563	3.15204	0.99913	-1.24161	-0.17311	2.99387
95	0.99054	0.99513	4.10309	0.99951	-1.31856	-0.23726	3.82356
98	0.98330	0.94069	6.21860	0.99982	-1.35728	-0.30560	5.67836
99	0.97709	0.88316	8.44252	0.99991	-1.34429	-0.33827	7.63453

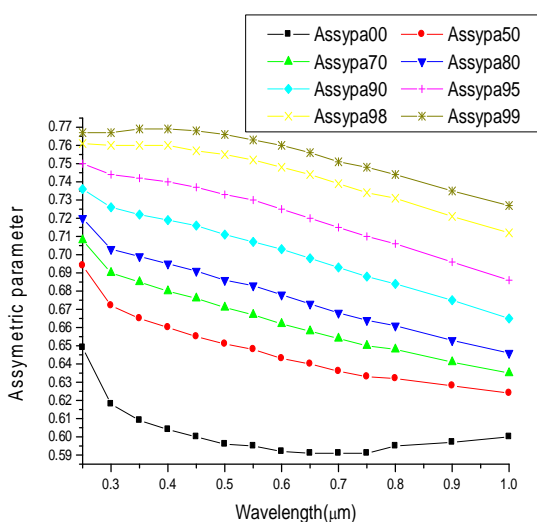


Figure 3: A graph of asymmetric parameter against wavelength

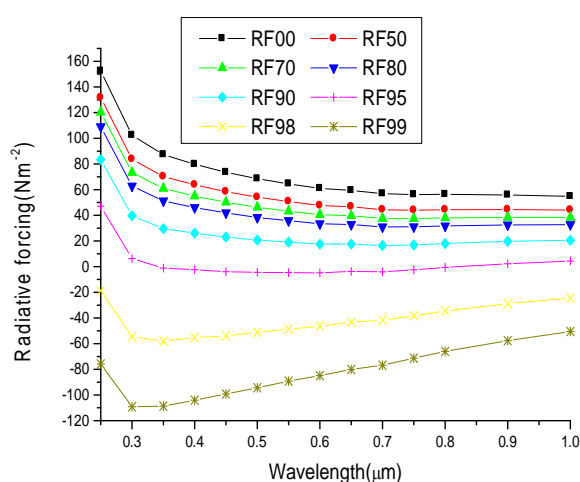


Figure 4: A graph of radiative forcing against wavelength

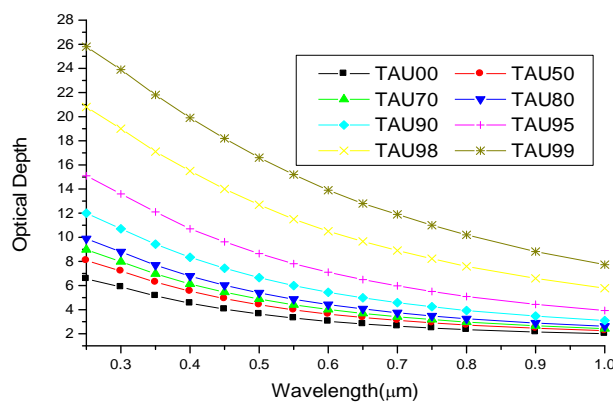


Figure 5: A graph of optical depth against wavelength

Table 3: The results of the Ångström coefficients for Model 1b using equations (4) and (5) at the respective relative humidities using regression analysis with SPSS16.0.

RH(%)	Linear			Quadratic			
	R ²	α	β	R ²	α_1	α_2	β
0	0.99715	0.90177	1.943251	0.99716	-0.8917	0.007389	1.94753
50	0.99760	0.96343	2.230815	0.99803	-1.03138	-0.04985	2.19800
70	0.99726	0.98463	2.422911	0.99836	-1.09634	-0.08194	2.36458
80	0.99664	0.99871	2.637723	0.99865	-1.15178	-0.11229	2.55110
90	0.99451	1.01104	3.196606	0.99910	-1.24570	-0.17214	3.03708
95	0.99070	0.99927	4.147965	0.99950	-1.32093	-0.23596	3.86687
98	0.983532	0.94394	6.263338	0.99980	-1.35874	-0.30429	5.72144
99	0.977451	0.88640	8.485223	0.99991	-1.34539	-1.34539	7.67674

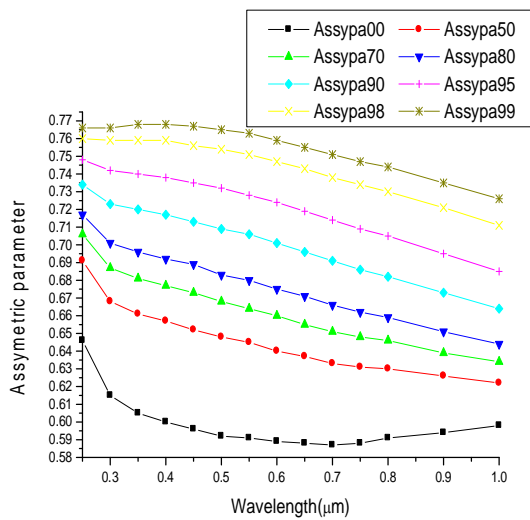


Figure 6: A graph of asymmetric parameter against wavelength

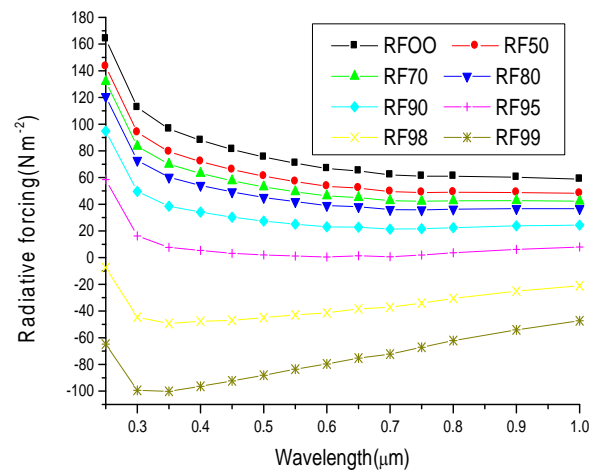


Figure 7: A graph of radiative forcing against wavelength

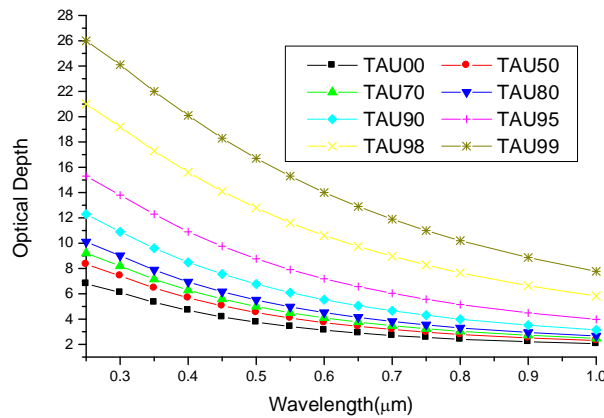


Figure 8: A graph of optical depth against wavelength

Table 4. The results of the Ångström coefficients for Model 1c using equations (4) and (5) at the respective relative humidities using regression analysis with SPSS16.0.

RH(%)	Linear			Quadratic			
	R ²	α	β	R ²	α_1	α_2	β
0	0.99714	0.91350	1.98778	0.99714	-0.90855	0.00363	1.98992
50	0.99755	0.97173	2.27574	0.99800	-1.04255	-0.05196	2.24085
70	0.99721	0.99172	2.46781	0.99833	-1.10516	-0.08322	2.40748
80	0.99664	1.00504	2.68255	0.99863	-1.15845	-0.11253	2.59426
90	0.99456	1.01606	3.24145	0.99908	-1.25010	-0.17168	3.08011
95	0.99084	1.00343	4.19279	0.99947	-1.32329	-0.23464	3.91019
98	0.98381	0.94737	6.30763	0.99979	-1.35989	-0.30261	5.76477
99	0.97783	0.88921	8.53057	0.99990	-1.34568	-0.33485	7.72200

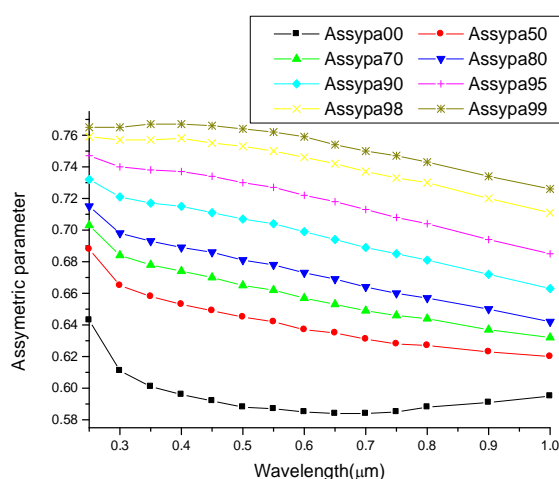


Figure 9: A graph of asymmetric parameter against wavelength

To analyse the effect of soot components in Radiative forcing (RF) of urban aerosols we see from Table 1 Composition of aerosol types in it insoluble and water soluble are kept constant at 1.50 and 15,000 respectively, while the concentration of soot varies from 1.10×10^5 , 1.20×10^5 and $1.30 \times 10^5 \text{ cm}^{-3}$. This effect is observed in Figure 1, Figure 2, Figure 3, Figure 4, Figure 5, Figure 6, Figure 7, Figure 8 and Figure 9. By considering the graphs of Radiative forcing against wavelength shown in Figure 1, Figure 4 and Figure 7 we observed that at 0-99% RH the Radiative forcing is positive which reflects warming effect. The relation of RF with wavelength is such that it decreases with wavelength from 0-90% Relative Humidity (RH) in the form of power law, and tend to increase in a concave quadratic form from 95-99% RH from the graph it was observed that the RF decreases with increase in RH. Thus, the effect of adding soot reflects warming on the Earth's atmosphere,

therefore, it is closely related to the greenhouse gases.

To analyse the effect of Ångström exponent (α) on the linear part and Curvature on the quadratic part shown in Tables 2, Table 3 and Table 4 from this, we observed that the α increase with RH from 0-90% RH and decreases from 95-99% RH. Eck *et al.*, (1999; 2001) and Ranjan *et al.*, (2007) reported that large positive values of Ångström exponent α are characteristics of fine-mode-dominated aerosols size distributions while near zero and negative values are characteristics of dominant coarse-mode or bi-modal size distributions, with coarse-mode aerosols having significant magnitude (Eck *et al.*, 1999; O'Neill *et al.*, 2001; Kaskaoutis and Kambezidis, 2006). In case of negative curvature ($\alpha_2 < 0$, convex type curves) the rate of change of α is more significant at the longer wavelengths, while in case of positive curvature ($\alpha_2 > 0$, concave type curves) the rate of change of α is more significant at

the shorter wavelengths (Kaufman, 1993; Eck *et al.*, 1999; Eck. *et al.*, 2001b; Reid *et al.*, 1999). Eck *et al.*, (1999) reported the existence of negative curvatures for fine-mode aerosols and positive curvatures for significant contribution by coarse-mode particles in the size distribution.

In our case, at 0% RH, in Tables 2, 3 and 4 the values of the α reflects the dominance of fine mode particles, but, at the quadratic part it shows the dominance of coarse mode particles, because $\alpha_2 > 0$ from 50-99% RH α_2 becomes negative and increases in magnitude at higher RH as shown in the tables. The negative value in α_2 implies the dominance of fine mode particles. The presence of fine and coarse mode particles shows that atmospheric aerosols are rarely monomodal (Whistby, 1978). Thus, they contain a wide range of sizes and are termed polydisperse aerosols. The graphs of optical depth against wavelength (Figures 2, 5 and 8) shows that optical depths follow a relatively smooth decrease with the increase in wavelength though some are steeper than others and the steepness increases with the increase in RHs. It is evident from the figures that there is a relatively strong wavelengths dependence of optical depths at shorter wavelengths that decreases toward longer wavelengths irrespective of the RH, attributing to the presence of fine and coarse particles. The presence of fine mode particles which are selective scatters enhanced the irradiance scattering in shorter wavelength only while the coarse mode particles provide similar contributions to the optical depths at both wavelengths (Schuster *et al.*, 2006). Additionally, fine particles scatter more lights in the forward direction than coarse particles.

In relation to RH, it shows that optical depths increase with the increase in RH. As the RH increases the optical depths continue to increase due to the increase in concentration of fine mode particles as a result of the continue sedimentation of coarse particles due to the increase in RH. And also as the RH increases there is an increase in hygroscopic growth more to fine particles than coarse particles and since fine particles scatter more lights in the forward direction than coarse particles, that is why the optical depth are higher at shorter wavelengths than longer wavelengths even at higher RHs.

It also shows monomode type of particle size distributions in the form of Junge power law in this spectral range (Eck *et al.*, 1999) and increase in RH has caused increase in mode growth because of the

increase in optical depths. The increase of AOD with RH at the deliquescence point (90 to 99%) is that the growth increase substantially, making the process strongly nonlinear with RH (Fitzgarald, 1975; Tang, 1996). These hygroscopic growth behaviours also reveal an immense potential of light scattering enhancement in the forward direction at high humidities and the potential for being highly effective cloud condensation nuclei. Reuder and Schwander (1999) reported that AOD typically varies between 0 and 1 in the UV wavelengths in a relatively clean atmosphere in a rural location but can be higher in polluted urban air. In this study, the AOD varies between 6 and 26 as shown from our figures which shows a relatively higher values indicating a polluted urban air. Using equation (10) and (11), we compute the value for the turbidity and obtain value greater than 0.2 indicating a relatively hazy atmosphere.

Figure 3, 6 and 9 show the graphs of asymmetric parameter (g) against wavelength, it shows that smaller particles scatter more light than the bigger particles, it also shows that there is a dominance of fine particles because the asymmetry parameter does not change much with wavelength even as a result of hygroscopic growth. Madronich and Flocke (1997) reported that when $g = 1$ it indicates complete forward scattering, $g = -1$ indicates complete backward scattering and $g = 0$ indicates isentropic scattering For UV wavelength g typically falls between 0.6 and 0.8. In our case g falls between 0.64 and 0.77 which is in close agreement with that reported by Madronich and Flocke (1997).

CONCLUSION

In this research work, we are able to model the effect of soot and water soluble components in Radiative forcing (RF) of urban aerosols using data extracted from Optical Properties of Aerosols and Clouds (OPAC) and FORTRAN Software. The analysis of Ångström exponent, α and Curvature α_2 at eight different relative humidities (RHs) (0, 50, 70, 80, 90, 95, 98 and 99%) was done using SPSS 16.0 Software. Graphs were plotted using Origin 50 Software for the optical properties; optical depth against wavelength, asymmetric parameter against wavelength, and RF against wavelength for the eight different RHs at spectral range of 0.25 to 1.00 μm . Our study suggest that, soot has a relatively high absorption coefficient in RF of urban aerosols, thereby having warming effect; this effect is closely related to that of greenhouse gases in terms of warming the Earth's atmosphere by absorption of solar radiation. The AOD typically varies between 6 and 26 indicating a polluted urban air. In this study,

the Ångström exponent, α and the curvature α_2 reveals that the atmospheric aerosols is composed of both fine and coarse mode particles. The turbidity, β , shows that the urban aerosols are associated with a relatively hazy atmosphere.

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