

**THE CORONA PROBLEM IN CARLEMAN ALGEBRAS ON
NON-STEIN DOMAINS IN \mathbb{C}^n .**

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Abstract

New estimates are obtained for the $\bar{\partial}$ -operator on non-stein domains in \mathbb{C}^n and the results are applied to the Corona problem in Carleman algebras on those domains.

Ω was Stein. As is always the case, it is natural to ask whether the condition of Steinness can be dropped. We show here that it can, if Ω is a domain in \mathbb{C}^n and we modify the condition in Darko (2005) and Hormander (1967) to the following Condition (H) :

Introduction

Let Ω be an open subset of a complex manifold X , and let p be a non-negative function on Ω . Denote by $A_p(\Omega)$ the (Carleman) algebras of all holomorphic functions f in Ω such that for some positive constants c_1 and c_2

$$|f(z)| \leq c_1 \exp(c_2 p(z)), z \in \Omega. \quad (1)$$

In [3] where $X = \mathbb{C}^n$ and Ω is pseudoconvex, and in Darko (2005), where X is a complex manifold and Ω is a relatively compact Stein open subset, a condition is given on p such that a given finite set $f_1, \dots, f_N \in A_p(\Omega)$ generates $A_p(\Omega)$ if and only if

$$\begin{aligned} &|f_1(z)| + |f_2(z)| + \dots + |f_N(z)| \\ &\geq c_1 \exp(-c_2 p(z)), z \in \Omega \end{aligned} \quad (2)$$

for some constants $c_1 > 0, c_2 > 0$. Both in Darko [2005] and Hormander (1967),

- (a) p is a non-negative upper semi-continuous function on Ω .
- (b) all polynomial belongs to $A_p(\Omega)$.
- (c) there exist positive constants K_1, \dots, K_4 such that $z \in \Omega$ and $|z - \xi| \leq \exp(-K_1 p(z) - K_2) \Rightarrow \xi \in \Omega$ and $p(\xi) \leq K_3 p(z) + K_4$. The only difference between the condition in Hormander(1967) and the Condition (H) here is the replacement of “plurisubharmonic” with “upper semicontinuous”.

Note that if Ω is an arbitray domain in \mathbb{C}^n , and $d(z)$ denotes the distance from $z \in \Omega$ to the complement of Ω in \mathbb{C}^n , $p(z) = \log 1/d(z)$ satisfies Condition (H) on Ω .

If Ω is a domain in \mathbb{C}^n and p satisfies Condition (H) on Ω , then we have the

following two lemmas as in Hormander (1967).

Lemma 1.1

If $f \in A_p(\Omega)$ it follows that $\frac{\partial f}{\partial z_j} \in A_p(\Omega)$, $1 \leq j \leq n$.

Lemma 1.2 If f is holomorphic in Ω , then $f \in A_p(\Omega)$ if and only if for some $K > 0$

$$\int_{\Omega} |f|^2 \exp(-2K_p(z)) d\lambda < \infty,$$

where $d\lambda$ denotes Lebesgue measure.

Our main Theorem is therefore the following

Theorem Let Ω be a domain in \mathbb{C}^n and p a function on Ω satisfying Condition (H). Then a finite set of functions in $A_p(\Omega)$, f_1, \dots, f_N generates $A_p(\Omega)$ if and only if (2) is valid.

To prove this theorem we follow the homological argument given in Hormander(1967), almost word for word, using Lemma 1.1 and 1.2 and \mathcal{L}^p -Carleman estimates for the $\bar{\partial}$ -operator on Ω , which we establish in the next section.

\mathcal{L}^p -Carleman Estimates For The $\bar{\partial}$ -Operator

For $1 \leq p \leq \infty$, let $\mathcal{L}_{(r,q)}^p(U)$ denote the space of forms of type (r, q) with coefficients in $\mathcal{L}^p(U)$,

$$f = \sum'_{|I|=r} \sum'_{|J|=q} f_{I,J} dz^I \wedge d\bar{z}^J \quad (3)$$

where \sum' means that the summation is performed only over strictly increasing multi-indices,

$$I = (i_1, \dots, i_r), J = (j_1, \dots, j_q),$$

$$dz^I = dz_{i_1} \wedge \dots \wedge dz_{i_r}, d\bar{z}^J = d\bar{z}_{j_1} \wedge \dots \wedge d\bar{z}_{j_q},$$

and U is open in \mathbb{C}^n . The norm of the (r, q) -form in (3) is defined by

$$\|f\|_{\mathcal{L}_{(r,q)}^p(U)} = \left\{ \sum'_{I,J} \|f_{I,J}\|_{\mathcal{L}^p(U)}^p \right\}^{\frac{1}{p}},$$

$1 \leq p < \infty$. Let $B_q(\xi, z)$ be the Bochner-Martinelli-Koppelman kernel of degree $(0, q)$ in z and degree $(n, n - q - 1)$ in ξ , so that, with $\beta = |\xi - z|^2$,

$$B_q(\xi, z) = \frac{(-1)^{q(q-1)/2}}{(2\pi i)^n} \binom{n-1}{q} \beta^{-n} \partial_{\xi} \beta \wedge (\bar{\partial}_{\xi} \partial_{\xi} \beta)^{n-q-1} \wedge (\bar{\partial}_z \partial_{\xi} \beta)^q \quad (4)$$

for $0 \leq q \leq n$.

An upper semicontinuous function φ is said to be admissible in an open set U in \mathbb{C}^n , if for every coefficient $b_q(\xi, z)$ of $B_q(\xi, z)$, $0 \leq q \leq n$,

$$\int_U |b_q(\xi, z)| e^{-\varphi(z)} d\lambda(z) \leq C, \int_U |b_q(\xi, z)| e^{-\varphi} d\lambda(\xi) \leq C \quad (5)$$

where $C > 0$ is a constant and λ is Lebesgue measure.

For an upper semicontinuous φ we define by fine $\mathcal{L}^p(U, \varphi)$ where U is open in \mathbb{C}^n

$$\mathcal{L}^p(U, \varphi) := \left\{ g \text{ is measurable on } U : \int_U |g|^p e^{-\varphi} d\lambda < \infty \right\}, \quad (6)$$

$1 \leq p < \infty$, and

$$\|g\|_{\mathcal{L}^p(U, \varphi)} = \left\{ \int_U |g|^p e^{-\varphi} d\lambda \right\}^{1/p}.$$

$\mathcal{L}_{(r,q)}^p(U, \varphi)$ is the space of (r, q) -forms with coefficients in $\mathcal{L}^p(U, \varphi)$, and if f is as in (3),

$$\|g\|_{\mathcal{L}^p(U, \varphi)} = \left\{ \sum_I \sum_J \|f_{I,J}\|_{\mathcal{L}^p(U, \varphi)}^p \right\}^{1/p}$$

$1 \leq p < \infty$.

Our second main result is

Theorem 2.1

Let Ω be a domain in \mathbb{C}^n and let $f \in \mathcal{L}_{(0,q+1)}^p(\Omega, \varphi)$ be $\bar{\partial}$ -closed, $1 < p < \infty$ and φ an upper semi continuous function admissible in Ω . Then there is $u \in \mathcal{L}_{(0,q)}^p(\Omega, \varphi)$ such that $\bar{\partial}u = f$ and

$$\|u\|_{\mathcal{L}_{(0,q)}^p(\Omega, \varphi)} \leq \delta \|f\|_{\mathcal{L}_{(0,q+1)}^p(\Omega, \varphi)},$$

where δ is independent of f .

To prove Theorem we need a lemma about Sobolev Space estimates for the $\bar{\partial}$ -operator on bounded domains in \mathbb{C}^n with boundaries of Lebesgue measure zero. Accordingly, let $W^{1,1}(U)$ be the space of functions which together with their distributional derivatives of order one are in $\mathcal{L}^1(U)$, with the usual norm, and $W_{(r,q)}^{1,1}(U)$ is the space of (r, q) -forms with coefficients in $W^{1,1}(U)$. We then have

Lemma 2.2

Let Ω be a bounded domain in \mathbb{C}^n with boundary of Lebesgue measure zero. Let $f \in W_{(0,q+1)}^{1,1}(\Omega)$ be $\bar{\partial}$ -closed, then there is a $u \in W_{(0,q)}^{1,1}(\Omega)$ such that $\bar{\partial}u = f$.

To prove Lemma 2.2 we need the Bochner-Martinelli-Koppelman formula:

Theorem 2.3

Let Ω be any bounded domain in \mathbb{C}^n with C^1 boundary. for $f \in C_{(0,q)}^1(\Omega)$, $0 \leq q \leq n$, we have

$$f(z) = \int_{\partial\Omega} B_q(\cdot, z) \wedge f + \int_{\Omega} B_q(\cdot, z) \wedge \bar{\partial}_{\xi} f + \bar{\partial}_z \int_{\Omega} B_{q-1}(\cdot, z) \wedge f, z \in \Omega \quad (7)$$

where $B_q(\xi, z)$ is in (4).

Proof of Lemma 2.2. With Ω and f as in Lemma 2.2, if

$$u(z) = \int_{\Omega} B_q(\cdot, z) \wedge f, z \in \Omega, \quad (8)$$

then $\bar{\partial}u = f$:

Let $f = \sum_J f_J d\bar{z}^J$ be defined as zero outside Ω and regularize f coefficient-wise: $f_m = \sum_J (f_J)_m d\bar{z}^J$,

where

$$(f_J)_m^{(z)} = \int_{C^n} f_J(z - \xi/m) \varphi(\xi) d\lambda(\xi)$$

$$m^{2n} \int_{C^n} f_J(\xi) \varphi(m(z - \xi)) d\lambda(\xi)$$

and $\psi \in C_0^\infty(\mathbb{C}^n)$, $\int \psi d\lambda = 1$, $\psi \geq 0$, $\text{supp } \psi = \{z \in \mathbb{C}^n : |z| \leq$

1\}, and λ is Lebesgue measure. Then $\|f_m\|_{\mathcal{L}_{(0,q+1)}^p(\mathbb{C}^n)}, f_m \rightarrow f$ in $\mathcal{L}_{(0,q+1)}^1(\Omega)$ as $m \rightarrow \infty$ and f_m is $\bar{\partial}$ -closed in \mathbb{C}^n .

Now let

$$u_m(z) = \int_{\mathbb{C}^n} B_q(\cdot, z) \wedge f_m. \quad (9)$$

Then from theorem 2.3, we have $\bar{\partial}u_m = f_m$, and since $f_m \rightarrow f$ in $\mathcal{L}_{(0,q+1)}^1(\Omega)$, we have $u_m \rightarrow u$ in $\mathcal{L}_{(0,q)}^1(\Omega)$, and $\bar{\partial}u = f$. Proof of Theorem 2.1. We first assume that Ω is bounded. It is clear that there is a sequence $\Omega_1 \subset\subset \Omega_2 \subset\subset \dots$ of bounded domains, each with boundary of Lebesgue measure zero, such that $\bigcup_{v=1}^{\infty} \Omega_v = \Omega$. We construct a sequence of $(0, q)$ -forms $\{u_v\}_{v=1}^{\infty}$ with $u_v \in \mathcal{L}_{(0,q)}^p(\Omega, \varphi)$, $\bar{\partial}u_v = f$ in Ω_v and

$$\|u_v\|_{\mathcal{L}_{(0,q)}^p(\Omega_v, \varphi)} \leq K \|f\|_{\mathcal{L}_{(0,q+1)}^p(\Omega, \varphi)},$$

where K is the same for all $v, 1 < p < \infty$. Let us regularize f as above. For v fixed, if m is sufficiently large, $f_m \in W_{(0,q+1)}^{1,1}(\Omega_v)$ and $\bar{\partial}f_m = 0$ in Ω_v . For such an m (sufficiently large) define

$$g_m = \begin{cases} f_m & \text{in } \Omega_v \\ 0 & \text{outside } \Omega_v \end{cases}$$

Then from Lemma 2.2, if

$$u_{v,m} = \int_{\Omega_v} B_q(\cdot, z) \wedge g_m,$$

$$\bar{\partial}u_{v,m} = g_m \text{ in } \Omega_v$$

and since φ is admissible on Ω_v

$$\|u_{v,m}\|_{\mathcal{L}_{(0,q)}^p(\Omega_v, \varphi)} \leq K \|f\|_{\mathcal{L}_{(0,q+1)}^p(\Omega, \varphi)}.$$

Now it is clear that as $m \rightarrow \infty, g_m \rightarrow f$ in $\mathcal{L}_{(0,q+1)}^1(\Omega_v)$ and $u_{v,m} \rightarrow$ some u_v in

$\mathcal{L}_{(0,q)}^1(\Omega_v), \bar{\partial}u_v = f$ and

$$\|u_v\|_{\mathcal{L}_{(0,q)}^p(\Omega_v, \varphi)} \leq K \|f\|_{\mathcal{L}_{(0,q+1)}^p(\Omega, \varphi)}. \quad (10)$$

Define u_v as zero outside Ω_v , then since $\mathcal{L}_{(0,q)}^p(\Omega, \varphi)$ is reflexive, for $1 < p < \infty$, by the Banach-Alaoglu Theorem, there is u in $\mathcal{L}_{(0,q)}^p(\Omega, \varphi)$ with

$$\|u\|_{\mathcal{L}_{(0,q)}^p(\Omega_v, \varphi)} \leq \|f\|_{\mathcal{L}_{(0,q+1)}^p(\Omega, \varphi)}, \quad (11)$$

($1 < p < \infty$), and a subsequence $\{u_{v\lambda}\}$ of $\{u_v\}$ such that $u_{v\lambda} \rightarrow u$ weakly in $\mathcal{L}_{(0,q)}^p(\Omega, \varphi)$ as $\lambda \rightarrow \infty$. In particular, $u_{v\lambda} \rightarrow u$ in the sense of distributions, as $\lambda \rightarrow \infty$. Therefore $\bar{\partial}u = f$. If Ω is not bounded, we can find a sequence of bounded domains $\Omega_1 \subset\subset \Omega_2 \subset\subset \dots$ exhausting Ω and a sequences of $(0, q)$ -forms $\{u_v\}_{v=1}^{\infty}$ as above, such that $\bar{\partial}u_v = f$ on Ω_v and

$$\|u_v\|_{\mathcal{L}_{(0,q)}^p(\Omega_v, \varphi)} \leq K \|f\|_{\mathcal{L}_{(0,q+1)}^p(\Omega, \varphi)}. \quad (12)$$

and K is the same for all v .

Treating the sequence in (12) as the sequence in (10) was treated, we get an $(0, q)$ -form $u \in \mathcal{L}_{(0,q)}^p(\Omega, \varphi)$ with $\bar{\partial}u = f$ and

$$\|u_v\|_{\mathcal{L}_{(0,q)}^p(\Omega_v, \varphi)} \leq K \|f\|_{\mathcal{L}_{(0,q+1)}^p(\Omega, \varphi)}.$$

The format of the proof is the same as that in (2) : Because of (1) and (2), where $|f|^2 = |f_1|^2 + \dots + |f_N|^2$, for each

$$V_j = \frac{\bar{f}_j}{|f|^2}$$

there is $K > 0$ such that

$$\int_{\Omega} |V_j|^2 \exp(-2Kp) d\lambda < \infty \quad (13)$$

and it is clear that

$$\sum_{j=1}^N V_j f_j = 1. \quad (14)$$

For non-negative integers s and r let L_r^s denote the set of all differential forms h of type $(0, r)$ with values in $\Lambda^s C^N$, such that for some $K > 0$

$$\int_{\Omega} |h|^2 \exp(-2Kp) d\lambda < \infty. \quad (15)$$

This means that for each multi-index $I = (i_1, \dots, i_s)$ of length $[I] = s$ with indices between 1 and N inclusively, h has component h_I which is a differential form of type $(0, r)$ such that h_I is skew symmetric in I and

$$\int_{\Omega} |h_I|^2 \exp(-2Kp) d\lambda < \infty. \quad (16)$$

As in [3], $\bar{\partial}$ is an unbounded operator from L_r^s to L_{r+1}^s and the interior product P_f by (f_1, \dots, f_N) maps L_r^{s+1} into L_r^s .

$$(P_I(h))_I = \sum_{j=1}^N h_{I_j} f_j, \quad |I| = s \quad (17)$$

If we define $P_f L_r^0 = 0$, then clearly $P_f^2 = 0$ and P_f commutes with $\bar{\partial}$, so we have a double complex. We now have (as in Hormander [1967]) the following

Theorem 3.1

For every $g \in L_r^s$ with $\bar{\partial}g = Pfg = 0$

one can find $h \in L_r^{s+1}$ so that $\bar{\partial}h = 0$ and $P_f h = g$.

Now from (14) $P_f \bar{\partial}V = \bar{\partial}P_f V = \bar{\partial}(1) = 0$, where $V = (V_1, \dots, V_N)$, therefore by Theorem 3.1 there exist $w \in L_1^2$ with $P_f w = \bar{\partial}V$ and $\bar{\partial}w = 0$. let $k \in L_0^2$ solve $\bar{\partial}k = w$ and set

$$h = V - P_f k \in L_0^1 \quad (18)$$

Then $\bar{\partial}h = \bar{\partial}V - P_f w = 0$ and

$$P_f(h) = P_f V = 1 \quad (19)$$

i.e. there exist $h_1, \dots, h_N \in A_p(\Omega)$ such that

$$\sum_{j=1}^N h_j f_j = 1. \quad (20)$$

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