

## SHORT COMMUNICATION

### ONE-POT SYNTHESIS OF SPIROGLYCOL

Jun Ming Xu<sup>\*</sup>, Fu Sheng Liu and Shi Tao Yu

College of Chemical Engineering, Qingdao University of Science and Technology, Qingdao  
266042, P.R. China

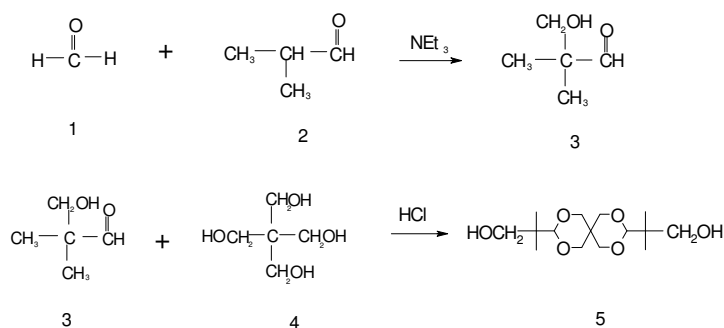
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**ABSTRACT.** The synthesis of spiroglycol by one-pot reaction was studied using pentaerythritol, isobutyraldehyde and formaldehyde as starting materials. Under the optimum reacting conditions, the yield and purity of product were 93.6 % and 99.0 %, respectively. Compared to the synthesis methods reported in literatures, not only was the yield of product improved, but also two operating units were omitted. The product was characterized by <sup>1</sup>H NMR and IR.

**KEY WORDS:** One-pot synthesis, Spiroglycol, Pentaerythritol

## INTRODUCTION

Spiroglycol was an important intermediate in organic synthesis. They can be used as starting materials for the synthesis of fine chemicals such as antioxidant [1-3] and functional polymers [4-8]. Although methods for the synthesis of spiroglycol have been reported, they were multistep with poor yield [7-12]. Tanaka Shinya [11] reported that spiroglycol (**5**) could be synthesized by reaction of pentaerythritol (**4**) with hydroxypivalaldehyde (**3**) in the presence of H<sub>2</sub>SO<sub>4</sub> in xylene-H<sub>2</sub>O at 60 °C for 12 h to give 89.7 % spiroglycol. Ninomiya Akiyuki [12] provided a method for producing spiroglycol using pentaerythritol, isobutyraldehyde (**2**) and formaldehyde (**1**) as starting materials. The method included four operating units: reaction of **1** and **2** to produce hydroxypivalaldehyde through aldol addition, separation of **3**, reaction of **3** and **4** to produce **5** and recrystallization of **5**. The synthetic sequences for the preparation of spiroglycol are shown in Scheme 1.



Scheme 1. Synthesis of **5**.

<sup>\*</sup>Corresponding author. E-mail: lang811023@163.com

We tried to use above method to prepare **5** and found that **3** could only be dried at room temperature for its sublimation property. This methodology was time consuming. Moreover, recrystallization was usually used for purification of **5** in reported literatures [4-10]. Kondo Osamu [9] used a mixture of N,N-dimethylformamide and toluene as solvent to purify the product **5** by recrystallization. Obviously this purification method has the drawbacks such as loss of product and recovery of solvent. In the present work, we attempted to provide a convenient and efficient synthesis of spiroglycol by one-pot and the detailed procedures for preparation of spiroglycol were studied. Compared to the synthesis methods reported in literatures, not only was the yield of product **5** improved, but also two operating units were omitted. To our knowledge, there is no report about this method in the literature.

## EXPERIMENTAL

### General

The materials used were of technical grade. All melting points were determined using a XT4A melting point apparatus and were uncorrected. IR (KBr) spectra ( $\nu_{\max}$  in  $\text{cm}^{-1}$ ) were obtained on a Bruker spectrophotometer.  $^1\text{H}$  NMR spectra were recorded in  $\text{CDCl}_3$  on Bruker AV-400 spectrometer operating at 400 MHz.

### One-pot synthesis procedures of **5**

To a three-necked flask equipped with a mechanical stirrer, a condenser, a thermometer were charged 2.9 mol of **1**, 2.7 mol of **2**, 0.07 mol of triethylamine, and the mixture was heated at 70 °C for 6 h. After completion of the aldol addition, the mixture was neutralized by hydrochloric acid to pH = 7. Then 1 mol of **4** and 0.06 mol of hydrochloric acid were added, the mixture was heated at 60 °C for 10 h. After completion of the reaction, the formed white powder was filtered and washed by 5 mol of water at 70 °C and dried to obtain **5**. The yield of **5** was 93.6 % with the purity 99.0 %.

## RESULTS AND DISCUSSION

### Synthesis of **5**

When compound **3** was put in an oven and heated at 70 °C for a while, it was surprisingly found that the weight of **3** was decreased dramatically. It is suggested that compound **3** has sublimation property that has not been reported in literature. The effect of drying time on weight loss and melting point of **3** was shown in Table 1. The results indicated that with increasing of drying time the weight loss of **3** increased, but the melting point was not changed. Therefore, the reason for the weight loss of **3** was owing to its sublimation property.

Table 1. Influence of drying time on weight loss and melting point of **3**.

Drying time (h)	Percentage of weight loss (%)	Melting point (°C)
6	32	90-92
12	41	91-93
24	50	91-93
48	60	92-93
72	75	91-93

Due to its sublimation property, **3** could not be dried by traditional method at higher temperature. In order to avoid the loss of **3**, we tried to explore whether the preparation of compound **5** could be carried out in the same reaction vessel by one-pot method. That is to say, after finishing of the reaction of **1** and **2**, hydrochloric acid and **4** were added directly to the reactor to produce compound **5**. The optimum reaction conditions were examined and the obtained results are given in Table 2 and Table 3.

Table 2. Effects of different catalysts on one-pot synthesis of **5**.

Catalyst 1 <sup>a</sup>	Catalyst 2 <sup>b</sup>	Yield (%)	m.p. (°C)
Et <sub>3</sub> N	HCl	93.6	200-201
Sodium hydroxide	HCl	88.5	197-200
Potassium carbonate	HCl	65.6	196-199
Pyridine	HCl	N.R.	
Et <sub>3</sub> N	<i>p</i> -Toluene sulfonic acid	90.5	199-201
Et <sub>3</sub> N	Sulfuric acid	93.0	200-201
Et <sub>3</sub> N	Nitric acid	75.6	197-201
Et <sub>3</sub> N	Phosphoric acid	63.5	196-198
Et <sub>3</sub> N	Acetic acid	N.R.	

<sup>a</sup> Catalyst 1: the catalyst used in reaction of **1** and **2**. <sup>b</sup> Catalyst 2: the catalyst used in reaction of **3** and **4**. All the catalysts were used in the same mole amount.

From Table 2, it can be seen that the suitable catalyst for the reaction of compounds **1** and **2** is Et<sub>3</sub>N. And for the reaction of compounds **3** and **4**, the suitable catalyst is HCl.

Table 3. One-pot synthesis results of **5** under different reaction conditions.

n <sub>1</sub> /n <sub>2</sub> <sup>a</sup>	Reaction time (h)	Reaction temp (°C)	n(Et <sub>3</sub> N) (mol)	n <sub>3</sub> (mol) <sup>b</sup>	Reaction time (h)	Reaction temp (°C)	n(HCl) (mol)	Yield (%)	m.p. (°C)
2.8:2.6	5	60	0.05	1	8	50	0.04	80.5	198-200
2.8:2.6	5	70	0.05	1	8	50	0.04	83.4	199-201
2.8:2.6	5	80	0.05	1	8	50	0.04	81.5	198-200
2.8:2.6	6	70	0.05	1	8	50	0.04	85.7	198-201
2.8:2.6	6	70	0.07	1	8	50	0.04	86.5	199-200
2.8:2.6	6	70	0.09	1	8	50	0.04	83.3	198-200
2.8:2.6	7	70	0.07	1	8	50	0.04	85.3	199-201
2.9:2.7	6	70	0.07	1	8	50	0.04	90.5	200-201
3.2:2.7	6	70	0.07	1	8	50	0.04	89.5	200-201
3.0:2.8	6	70	0.07	1	8	50	0.04	90.1	199-201
2.9:2.7	6	70	0.07	1	8	50	0.04	90.6	200-201
2.9:2.7	6	70	0.07	1	8	60	0.04	91.5	200-201
2.9:2.7	6	70	0.07	1	8	70	0.04	91.7	199-201
2.9:2.7	6	70	0.07	1	10	60	0.04	91.3	200-201
2.9:2.7	6	70	0.07	1	10	60	0.06	93.6	200-201
2.9:2.7	6	70	0.07	1	10	60	0.08	93.4	200-201
2.9:2.7	6	70	0.07	1	12	60	0.06	93.7	200-201

<sup>a</sup> n<sub>1</sub>/n<sub>2</sub>: mole ratio of **1** and **2**. <sup>b</sup> n<sub>3</sub>: mole of **4**.

From the results of Table 3, the optimum reaction conditions for one-pot synthesis of **5** are as follows:

- (1) For the reaction of compounds **1** and **2**, n(**1**):n(**2**):n(Et<sub>3</sub>N) = 2.9:2.7:0.07, reaction time 6 h, reaction temperature 70 °C.
- (2) For the reaction of compounds **3** and **4**, n(**1**):n(**2**):n(**4**) = 2.9:2.7:1, reaction time 10 h, reaction temperature 60 °C.

*Purification of spiroglycol*

Purification of **5** could be carried out by recrystallization according to literatures [4-10]. However, recrystallization process often caused the problems such as loss of product and recovery of solvent. During the experiment, we found out that all reactants were water-soluble and product **5** was not water-soluble. Therefore, by filtering and washing with water at 70 °C, it was possible to obtain the product **5** in good yield and purity which were higher than those reported in literatures.

*Characterization of product*

IR,  $\nu/\text{cm}^{-1}$ : 3255 (-OH), 2971 (-CH<sub>3</sub>), 2950, 2870 (-CH<sub>2</sub>-), 1087 (C-O). <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$ : 0.82 (s, 12H, 4-CH<sub>3</sub>), 3.26-3.29 (s, 8H, 4-CH<sub>2</sub>-), 3.45- 3.50 (w, 4H, 2-CH<sub>2</sub> -OH); 4.22 (m, 2H, 2-O-CH-O-); 4.40-4.42 (w, 2H, -OH).

**CONCLUSIONS**

A convenient and efficient synthesis of **5** by one-pot method was described. Under the optimum reacting conditions, the yield and purity of product were 93.6 % and 99.0 %, respectively. Compared to the synthesis methods reported in literatures, two operating units were omitted as well as the yield of product was improved.

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